



## CITY OF RIALTO

### DISCLOSURES REQUIRED BY PERSONS OR ENTITIES CONTRACTING WITH THE CITY OF RIALTO\*

Pursuant to City of Rialto Code of Ordinances Section 2.48.145, all persons or business entities supplying any goods or services to the city, or seeking a loan or grant awarded by the city, whether through an application or proposal, shall disclose in such application or proposal whether any city officer, employee, or consultant may have a financial or non-financial interest in the person or business entity, or in any member, employee, owner, or officer of the business entity.

- A financial interest shall mean any interest that is prohibited under state law, including California Government Code Sections 1090 and 87100, and California Code of Regulation Section 18700 *et seq.*
- A non-financial interest shall mean any interest that is prohibited by City of Rialto Code of Ordinances Section 2.48.140 (attached here).

For the purpose of helping the City understand whether City personnel might have a conflict of interest in you or your organization, please disclose below whether you or any of your members, employees, paid or unpaid officers, paid or unpaid directors, or owners are (or are related to) a City elected or appointed official, a City officer, or a City employee or consultant.

Name of Your Organization's Affected Member, Employee, Paid or Unpaid Officer, Paid or Unpaid Director, or Owner	Name of City Elected or Appointed Official, City Officer, a City Employee or Consultant	Relationship Between the Two
N/A		

By submitting [this application/proposal], or supplying any goods or services to the city, the [applicant/vendor/contractor/consultant] hereby attests under penalty of perjury, personally and/or on behalf of the entity [submitting this application/proposal or supplying any goods or services to the city] that no City of Rialto elected or appointed official, employee or consultant has a financial or non-financial interest, as such terms are defined in California Government Code Sections 1090 and 87100 and in City of Rialto Code of Ordinances Section 2.48.145, in the [applicant/vendor/contractor/consultant], except as specifically disclosed herein.

Name of Person/Entity: Eric Alvarez

Title: President

Signature:  Date: 09-13-2022

Ordinance 1655 must be attached

Form Date: 06/09/2021

**ORDINANCE NO. 1655**

**AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF RIALTO, CALIFORNIA, AMENDING THE CITY'S CONFLICT OF INTEREST ORDINANCE (CITY OF RIALTO CODE OF ORDINANCES SECTION 2.48.140) AND CONFLICT OF INTEREST DISCLOSURE ORDINANCE (CITY OF RIALTO CODE OF ORDINANCES SECTION 2.48.145).**

**WHEREAS**, in June, 2019, a fiscal year-end audit identified conflict of interest concerns related to the City's federal program spending, and specifically with federal CDBG grants; and

**WHEREAS**, subsequent review of the City's conflict of interest ordinances and internal controls process identified several issues with these ordinances and processes; and

**WHEREAS**, Subsequent to the resolution of the initial conflict of interest, the federal Department of Housing and Urban Development (HUD) has requested that the City update and strengthen its conflict of interest ordinance and internal controls process, to avoid similar future conflicts of interest; and

**WHEREAS**, this proposed new conflict of interest ordinance will minimize the potential for future conflicts of interest in the award of City contracts and grants, and in particular, federal CDBG grants; and

**WHEREAS**, the substantive changes in this proposed new conflict of interest ordinance have been reviewed and approved by HUD.

**NOW, THEREFORE**, the City Council hereby finds, determines, and ordains as follows:

**SECTION 1.** The City Council hereby specifically finds that all the facts set forth in the recitals above of this Ordinance are true and correct and incorporated herein.

**SECTION 2.** Amendment of the City of Rialto's conflict of interest ordinances is necessary to bring the City's conflict of interest rules in line with best practices and would bring the City into compliance with HUD's request for additional conflict of interest regulations and controls.

**SECTION 3.** The City Council hereby deletes existing City of Rialto Code of Ordinances section 2.48.140 in its entirety, and replaces it with the following:

(a) No covered person shall participate in the making of a grant or contract by the city in which the covered person has a conflicting interest.

(b) For purposes of this prohibition, the following definitions shall apply:

(1) A "covered person" includes any person who holds an elected or appointed City office, a City officer, a City employee, and any person who is a consultant to the City.

(2) A "conflicting interest" includes, but is not limited to, those decisions where:

(A) A covered person holds or has held within the previous twelve months a position with a potential grant or contract recipient;

(B) A close relative of a covered person holds or has held within the previous twelve months a position with a grant or contract recipient;

(C) A close relative of the spouse or domestic partner of a covered person holds or has held within the previous twelve months a position with a grant or contract recipient.

(3) "Position" includes the status of a member, employee, owner, paid or unpaid officer of, paid or unpaid leadership position in, or had an ownership interest in, a grant or contract recipient.

(4) A "close relative" includes a spouse, parent, grandparent, child, grandchild, aunt, uncle, or cousin.

(5) "Participate in the making of a grant or contract" includes participation in: drafting a solicitation or contract; negotiating, voting on, approving, or executing a grant or contract; discussion of same with any city officer or employee; or attempts in any way to influence the making of a grant or contract.

(c) This prohibition shall not apply to a contract let by written competitive bid where the contract will be awarded to the person or entity who submits the lowest responsible and responsive bid.

(d) Except for the Mayor or a member of the City Council, a covered person may request a waiver of any potential conflict of interest in writing from the City Manager. Any request for such a waiver must include full disclosure of the potential conflict of interest and a statement detailing any mitigating factors. The request and the City Manager's response shall be provided to the City Council prior to any vote to approve the contract or grant, or if City Council approval is not required, at least five days before the contract or grant is approved. The request and the City Manager's response shall be considered a public record.

(e) The prohibitions in this section are in addition to any applicable federal or state conflict of interest laws, including but not limited to Government Code section 1090, and Government Code section 87100 *et seq.*

(f) Any person who violates this section is subject to the following:

(1) Public censure;

(2) If the conflict of interest was in the making of a contract, a prohibition from participation in the making of a contract by the city for a period of time up to twelve (12) months from the date of the imposition of the discipline;

(3) If the conflict of interest was in the making of a grant, a prohibition from participation in the making of a grant by the city for a period of time of up to twenty-four (24) months from the date of the imposition of the discipline;

(4) An administrative fine pursuant to Chapter 1.10 of this code.

(g) The discipline specified herein may be imposed:

1 (1) By the City Manager in the case of any employee or consultant  
2 who violates this section.

3 (2) By the City Council in the case of any person who holds an  
4 elected or appointed City office, or any City officer who violates  
this section.

5 **SECTION 4.** The City Council hereby deletes existing City of Rialto Code of  
6 Ordinances section 2.48.145 in its entirety, and replaces it with the following:

7 (a) All persons or business entities supplying any goods or services to the city, or  
8 seeking a loan or grant awarded by the city, whether through an application or  
9 proposal, shall disclose in such application or proposal whether any elected or  
appointed city official, city officer, employee, or consultant may have a financial  
or non-financial interest in the person or business entity, or in any member,  
employee, owner, or officer of the business entity.

10 1. For purposes of this prohibition, a financial interest shall mean any  
11 interest that is prohibited under state law, including California Government  
Code Sections 1090 and 87100, and California Code of Regulation Section  
12 18700 *et seq.*


13 2. For purposes of this prohibition, a non-financial interest shall mean any  
14 interest that is prohibited by City of Rialto Code of Ordinances Section  
2.48.140.

15 (b) In order to facilitate disclosure as required by this section, any such application  
16 or proposal to the City shall prominently include the following disclosure in any  
RFP or other solicitation document:

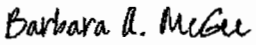
17 By submitting [this application/proposal], or supplying any goods or  
18 services to the city, the [applicant/vendor/contractor/consultant] hereby  
19 attests under penalty of perjury, personally and/or on behalf of the entity  
[submitting this application/proposal or supplying any goods or services to  
20 the city] that it/they have they no financial or non-financial interests, as  
such terms are defined in City of Rialto Code of Ordinances Section  
2.48.145, concerning any City of Rialto elected or appointed official or  
employee, except as specifically disclosed herein.

21 **SECTION 5.** The Mayor shall sign the passage and adoption of the Ordinance  
22 and thereupon the same shall take effect and be in force.  
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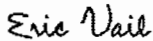
**PASSED, APPROVED, AND ADOPTED** this 9th day of February, 2021.

  
\_\_\_\_\_  
DEBORAH \_\_\_\_\_, MAYOR

**ATTEST:**

  
\_\_\_\_\_  
BARBARA \_\_\_\_\_, \_\_\_\_\_RK

**APPROVED AS TO FORM:**

  
\_\_\_\_\_  
ERIC S. VAIL \_\_\_\_\_, CITY ATTORNEY



Home



CONTRACTORS STATE LICENSE BOARD



## ▼ Contractor's License Detail for License # 1073145

**DISCLAIMER: A license status check provides information taken from the CSLB license database. Before relying on this information, you should be aware of the following limitations.**

- ▶ CSLB complaint disclosure is restricted by law (B&P 7124.6) If this entity is subject to public complaint disclosure click on link that will appear below for more information. Click here for a definition of disclosable actions.
- ▶ Only construction related civil judgments reported to CSLB are disclosed (B&P 7071.17).
- ▶ Arbitrations are not listed unless the contractor fails to comply with the terms.
- ▶ Due to workload, there may be relevant information that has not yet been entered into the board's license database.

Data current as of 7/11/2022 10:27:17 AM

### Business Information

ROADWAY ENGINEERING & CONSTRUCTION CORP  
3121 INDIAN DR  
PERRIS, CA 92571  
Business Phone Number:(909) 717-1960

**Entity** Corporation  
**Issue Date** 02/01/2021  
**Expire Date** 02/28/2023

### License Status

**This license is current and active.**

**All information below should be reviewed.**

### Classifications

- ▶ A - GENERAL ENGINEERING
- ▶ B - GENERAL BUILDING

### Bonding Information

#### Contractor's Bond

This license filed a Contractor's Bond with WESTERN SURETY COMPANY.

**Bond Number:** 65364303

**Bond Amount:** \$15,000

**Effective Date:** 01/20/2021

#### Bond of Qualifying Individual

The qualifying individual ERIC ALVAREZ certified that he/she owns 10 percent or more of the voting stock/membership interest of this company; therefore, the Bond of Qualifying Individual is not required.

**Effective Date:** 02/01/2021

### Workers' Compensation

This license has workers compensation insurance with the EVEREST PREMIER INSURANCE COMPANY

**Policy Number:** 7600017355221

**Effective Date:** 03/01/2022

**Expire Date:** 03/01/2023

Workers' Compensation History

### Other

- ▶ Personnel listed on this license (current or disassociated) are listed on other licenses.

## Contractor Information

**Legal Entity Name**

Roadway Engineering &amp; Construction Corp.

**Legal Entity Type**

Corporation

**Status**

Active

**Registration Number**

PW-LR-1000807140

**Registration effective date**

07/01/22

**Registration expiration date**

06/30/25

**Mailing Address**

3121 Indian Ave Perris 92571-3208 CA United States of America

**Physical Address**

3121 Indian Ave Perris 92571-3208 CA United States of America

**Email Address**

eric@roadwayengineering.com

**Trade Name/DBA****License Number (s)**

CSLB:1073145

CSLB:1073145

## Registration History

Effective Date	Expiration Date
08/06/21	06/30/22
07/01/22	06/30/25

## Legal Entity Information

**Corporation Entity Number:**

C4661918

**Federal Employment Identification Number:**

861894251

**President Name:**

Eric Alvarez

**Vice President Name:**

N/A

**Treasurer Name:**

Simonette Alvarez

**Secretary Name:**

Eric Alvarez

**CEO Name:**

Eric Alvarez

**Agency for Service:****Agent of Service Name:**

Eric Alvarez

**Agent of Service Mailing Address:**

3121 Indian Ave. Perris 92571-3208 CA United States of America

## Worker's Compensation

**Do you lease employees through Professional Employer Organization (PEO)?:**

No

**Please provide your current worker's compensation insurance information below:**

PEO	PEO	PEO
PEO Information Name	Phone	Email

**Insured by Carrier****Policy Holder Name:**

Roadway Engineering &amp; Construction Corp.

**Insurance Carrier:**

Everest Premier Insurance Company

**Policy Number:**

7600017355221

**Inception date:**

03/01/22

**Expiration Date:**

03/01/23