



City of Rialto

TREASURER'S OFFICE

California

March 02, 2021

TO: The Honorable Mayor and City Council,
City Administrator, Deputy City Manager, Interim Finance Director

FROM: Edward J. Carrillo - City Treasurer

TREASURER'S MONTHLY REPORT - OCTOBER 2020

			REF
General Pooled Funds Investments + Cash Sweep Bank Account.....	159,707,849.83	57%	A,G
Rialto Successor Agency (RSA) + Cash Sweep Bank Account.....	7,712,984.43	3%	B,H
Rialto Utility Authority (RUA) Pre-existing Investments + Cash Sweep Bank Account.....	21,822,697.84	8%	C,I
Other Bank Accounts + Cash Sweep Bank Accounts.....	1,722,641.31	1%	D,J
Escrow Accounts.....	14,727,338.61	5%	E
Cemetery Endowment Fund (Restricted Accounts).....	340,829.38	0%	F,K
Pepper Avenue Extension (Restricted Accounts).....	323,420.14	0%	L
General Fund Economic Reserve (Restricted Funds).....	25,775,071.66	9%	M
Public Agency Retirement Services - OPEB (Restricted Funds).....	16,810,801.86	6%	N
Cash with Fiscal Agents - (Restricted Bond Issues).....	5,273,335.24	2%	O
Cash with Fiscal Agents - RUA (Concession Agreement).....	26,203,480.14	9%	P

TREASURER'S PORTFOLIO

280,420,448.44	100%
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The City's Treasurer expects to have sufficient cash for the next six months, including use of General Fund's cash reserves.

The City of Rialto's Investments and funds management is in compliance with the City's Investment Policy and State Mandates. Pooled Investments have liquidity below 30 days, and therefore carry Market Value at Issued Value. Wells Fargo Advisors, Clearwater Analytics and Union Bank of California provided the Market Value of the investments held in custody. Union Bank of California (Corporate Trust Dept) and US Bank (Corporate Trust Dept.) provided Fiscal Agent information.



City of Rialto

TREASURER'S BANK RECONCILIATION BALANCE SHEET

ACTIVE ACCOUNTS	OCTOBER 2020	
	BALANCE	REF
GENERAL BANK ACCOUNT**	(3,783,355.85)	A
RSA BANK ACCOUNT**	(417.27)	B
RUA BANK ACCOUNT**	(114,904.01)	C
SPORTS CENTER/POOL**-----	-	
AMBULANCE LOCKBOX**-----	10,519.00	
FLEXIBLE BENEFIT**-----	-	
ALARM LOCKBOX-----	26,776.40	
BANK CARD-----	1,974.93	
PAYROLL CLEARING-----	9,530.38	
LIABILITY ACCT./CARL WARREN & CO.-----	60.20	
PEPPER AVENUE-----	5,575.70	
WORKERS COMP/LWP CLAIMS SOLUTIONS-----	152,500.00	
PROPERTY EVIDENCE MONIES-----	1,285,013.63	
OTHER BANK ACCOUNTS	1,491,950.24	D
ENERGY CONSERVATION PROJECT-----	253,691.67	
SOLAR PROJECT-----	303,570.76	
AYALA @ 210 PARTNERS LLC-----	310,449.53	
LEWIS HILLWOOD-----	2,757,225.59	
ALDER AVENUE-----	3,283,581.73	
LAUREL/WALNUT/LOCUST-----	2,994,052.15	
SUB ACCOUNT A-----	4,824,767.18	
ESCROW ACCOUNTS	14,727,338.61	E
CEMETERY ENDOWMENT FUND-----	25,690.67	
RESTRICTED ACCOUNTS	25,690.67	F

Note (**) - Cash is swept into Government Money Market Fund Sweep Accounts with Wells Fargo to earn interest on undisbursed monies. Accounts with negative balances are due to current month's outstanding checks.

TOTAL - ACTIVE ACCOUNTS 12,346,302.39 (A-F)



City of Rialto

SCHEDULE OF INVESTMENTS
GENERAL POOLED FUNDS / INVESTMENTS - REF: G
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - GENERAL	10/01/20	---	10/01/20	---	---	0	1	---	---	7,500,425.37		7,500,425.37	7,500,425.37
SHARES PURCHASED	10/01/20	---	10/31/20	---	---	30	1	---	---	5,906,669.85		5,906,669.85	5,906,669.85
SHARES REDEEMED	10/01/20	---	10/31/20	---	---	30	1	---	---	(9,590,307.57)		(9,590,307.57)	(9,590,307.57)
INTEREST EARNED - GENERAL FUND ACCOUNT	10/01/20	---	10/31/20	---	0.01%	30	1	---	---	86.18		86.18	86.18
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - GENERAL	10/01/20	---	10/31/20	---	---	30	1	---	---	224,153.93		224,153.93	224,153.93
SUBTOTAL										4,041,027.76		4,041,027.76	4,041,027.76
PACIFIC PREMIER BANK #0314													
POOLED FUNDS - #0314	10/01/20	---	10/01/20	---	2.35%	0	0	---	---	5,188,024.83		5,188,024.83	5,188,024.83
INTEREST EARNED #0314	10/01/20	---	10/31/20	---	---	30	1	---	---	878.92		878.92	878.92
SUBTOTAL										5,188,903.75		5,188,903.75	5,188,903.75
PACIFIC PREMIER BANK #7667													
POOLED FUNDS - #7667	10/01/20	---	10/01/20	---	2.35%	0	0	---	---	3,525,308.51		3,525,308.51	3,525,308.51
INTEREST EARNED #7667	10/01/20	---	10/31/20	---	---	30	1	---	---	597.23		597.23	597.23
SUBTOTAL										3,525,905.74		3,525,905.74	3,525,905.74
LAIF - CALIFORNIA STATE POOL													
POOLED FUNDS - LAIF	10/01/20	---	10/01/20	---	0.60%	0	0	---	---	20,573,505.44		20,573,505.44	20,573,505.44
INTEREST EARNED	10/01/20	---	10/31/20	---	---	30	1	---	---	43,687.05		43,687.05	43,687.05
SUBTOTAL										20,617,192.49		20,617,192.49	20,617,192.49
WELLS FARGO ADVISORS - CERTIFICATES OF DEPOSITS													
05580ADB7--BMW BANK NORTH AMERICA - CD (CUSIP 05580ADB7) - 4 YR. 11 MO.	11/06/15	---	10/16/20	2.20%	2.19%	1806	59	0	---	(251,331.32)		(249,000.00)	---
02587DF37--AMERICAN EXPRESS CENT BANK - CD (CUSIP 02587DF37) - 5 YR.	11/05/15	---	10/28/20	2.25%	2.24%	1819	60	0	---	(250,337.48)		(248,000.00)	---
140420XD7--CAPITAL ONE BANK USA - CD (CUSIP 140420XD7) - 5 YR.	11/05/15	---	10/28/20	2.25%	2.24%	1819	60	0	---	(250,115.00)		(248,000.00)	---
14042RBL4--CAPITAL ONE NA - CD (CUSIP 14042RBL4) - 5 YR.	11/05/15	---	10/28/20	2.25%	2.24%	1819	60	0	---	(250,115.00)		(248,000.00)	---
254672VW5--DISCOVER BANK - CD (CUSIP 254672VW5) - 5 YR.	11/05/15	---	10/28/20	2.20%	2.19%	1819	60	0	---	(249,867.00)		(248,000.00)	---
38148JX63--GOLDMAN SACHS BANK - CD (CUSIP 38148JX63) - 5 YR.	11/06/15	---	11/04/20	2.20%	2.19%	1825	60	0	---	249,525.01		248,000.00	248,074.40
WFA -- CASH	10/01/20	---	10/31/20	---	---	30	1	---	---	11,680.69		11,680.69	11,680.69
SUBTOTAL										261,205.70		259,680.69	259,755.09
WELLS FARGO ADVISORS - CITY OF RIALTO													
95000N3P2 - WELLS FARGO & CO MULTISTEP UP CPN MTN CALLABLE	01/19/18	01/23/21	01/23/23	2.30%	2.29%	1830	60	27	BBB+	5,000,000.00		5,000,000.00	5,002,200.00
06747PSD2 - BARCLAYS BANK PLC GLOBAL MTN CALLABLE	05/18/20	05/21/21	11/21/23	1.90%	1.92%	1282	42	37	A	1,500,000.00		1,500,000.00	1,496,805.00
WFA -- TREASURY MONEY MARKET	10/01/20	---	10/31/20	---	0.06%	30	1	---	---	863.02		863.02	863.02
WFA -- CASH	10/01/20	---	10/31/20	---	---	30	1	---	---	57.34		57.34	57.34
SUBTOTAL										6,500,920.36		6,500,920.36	6,499,925.36

NOTE: G (CONTINUED TO NEXT PAGE)



City of Rialto

SCHEDULE OF INVESTMENTS
GENERAL POOLED FUNDS / INVESTMENTS - REF: G (CONTINUED)
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CUSTODIAL													
46625HRT9--JP MORGAN - NOTE (CUSIP 46625HRT9) - 4 YR.	05/22/17	05/07/21	06/07/21	2.40%	2.37%	1477	49	7	---	(2,890,837.50)		(2,890,000.00)	---
02665JLP3 - AMERICAN HONDA FINANCE CORP - CP	08/11/20	---	11/23/20	---	---	104	3	1	A2	11,989,599.97		12,000,000.00	11,998,560.00
94974BGR5--WELLS FARGO BANK - MTN (CUSIP 94974BGR5) - 3 YR. 6 MO.	06/29/17	---	12/07/20	2.55%	2.54%	1257	41	1	BBB+	3,039,840.00		3,000,000.00	3,006,720.00
89233GN44--TOYOTA MOTOR CREDIT CORP - CP (CUSIP 89233GN44)	04/14/20	---	01/04/21	---	---	265	9	2	A1+	9,862,866.67		10,000,000.00	9,997,500.00
94974BFR6--WELLS FARGO - BOND (CUSIP 94974BFR6) - 3 YR. 4 MO.	09/13/17	---	01/22/21	3.00%	2.98%	1227	40	3	BBB+	2,575,700.00		2,500,000.00	2,513,875.00
5148X0P17 - LANDESBK BADEN-WURTT NY CP	10/05/20	---	02/01/21	---	---	119	4	3	---	2,468,461.74		2,470,000.00	2,468,542.70
80285PP14 - SANTANDER UK PLC CP	09/22/20	---	02/01/21	---	---	132	4	3	A1	9,993,400.00		10,000,000.00	9,995,200.00
69353REW4--PNC BANK NA - NOTE (CUSIP 69353REW4) 4 YR 8 MO	08/24/17	---	04/29/21	2.15%	2.13%	1344	44	6	A	3,015,000.00		3,000,000.00	3,023,700.00
53948ATR2 - LLOYDS BANK CORP MKTS/NY CP	10/05/20	---	06/25/21	---	---	263	9	8	A1	2,495,997.22		2,500,000.00	2,496,525.00
53948AU25 - LLOYDS BANK CORP MKTS/NY CP	10/29/20	---	07/02/21	---	---	246	8	8	A1	1,247,864.57		1,250,000.00	1,248,187.50
36962G5J9--GE CAPITAL CORP - INTERNOTES (CUSIP 36962G5J9) - 4 YR. 1 MO.	09/13/17	---	10/17/21	4.65%	4.47%	1495	49	12	BBB+	3,315,540.00		3,000,000.00	3,120,420.00
38145GAG5--GOLDMAN SACHS GRP INC -- (CUSIP 38145GAG5)	06/23/20	11/15/20	11/15/21	2.35%	2.34%	510	17	12	BBB+	5,035,500.00		5,000,000.00	5,003,300.00
46625HJD3--JPMORGAN CHASE - BOND (CUSIP 46625HJD3) - 4 YR. 4 MO.	09/13/17	---	01/24/22	4.50%	4.28%	1594	52	15	A-	3,265,620.00		3,000,000.00	3,154,200.00
94974BFC9--WELLS FARGO BANK - NOTES (CUSIP 94974BFC9) - 3 YR. 1 MO.	01/29/19	---	03/08/22	3.50%	3.36%	1134	37	16	BBB+	5,044,300.00		5,000,000.00	5,206,300.00
38141GWC4 - GOLDMAN SACHS GRP INC SR UNSECURED CALLABLE	08/17/20	04/26/21	04/26/22	3.00%	2.96%	617	20	18	BBB+	10,174,400.00		10,000,000.00	10,123,300.00
94974BFJ4 - WELLS FARGO & CO	11/04/19	---	02/13/23	3.45%	3.25%	1197	39	27	BBB	4,988,160.00		4,800,000.00	5,092,320.00
95001DSY2--WELLS FARGO & CO	03/13/20	03/17/21	03/17/25	1.75%	1.76%	1830	60	53	BBB+	2,500,000.00		2,500,000.00	2,485,725.00
404280AV1--HSBC HOLDINGS PLC - BOND (CUSIP 404280AV1) - 2 YR.	03/04/19	---	03/08/21	3.40%	3.36%	735	24	4	A-	2,015,460.00		2,000,000.00	2,020,740.00
06747PXW4--BARCLAYS BANL PLC - MTN (CUSIP 06747PXW4)	06/16/20	12/22/20	06/22/22	1.10%	1.10%	736	24	20	A	4,000,000.00		4,000,000.00	3,991,320.00
06747QBH9--BARCLAYS BANK PLC - MTN (CUSIP 06747QBH9)	07/29/20	01/31/21	07/31/22	0.95%	95.00%	732	24	21	A	8,000,000.00		8,000,000.00	7,961,280.00
53944VAS8--LLOYDS BANK PLC	12/09/19	---	08/14/22	2.25%	2.18%	979	32	21	A+	2,009,300.00		2,000,000.00	2,059,280.00
83369MG48 - SOCIETE GENERALE COMPANY MTN CALLABLE	08/26/20	02/28/21	08/31/22	0.50%	0.50%	735	24	22	---	5,000,000.00		5,000,000.00	4,977,350.00
83369MK84 - SOCIETE GENERALE COMPANY MTN CALLABLE	09/25/20	12/30/20	03/29/23	0.60%	0.60%	915	30	29	A	10,250,000.00		10,250,000.00	10,192,600.00
06747Q3B1--BARCLAYS BANK PLC - MTN (CUSIP 06747Q3B1)	06/29/20	07/02/21	01/02/24	1.35%	1.37%	1282	42	38	A	1,000,000.00		1,000,000.00	980,590.00
06747NX74--BARCLAYS BANK PLC	01/15/20	01/17/21	01/17/25	2.40%	2.44%	1829	60	51	A	2,000,000.00		2,000,000.00	1,960,540.00
06747PKV0--BARCLAYS BANK PLC - MTN (06747PKV0)	06/08/20	04/08/23	04/08/25	3.25%	3.11%				A	5,136,480.00		4,920,000.00	5,133,183.60
WFA --TREASURY MONEY MARKET	10/01/20	---	10/31/20	---	0.02%	30	1	---	---	2,890,000.00		2,890,000.00	2,890,000.00
WFA -- CASH	10/01/20	---	10/31/20	---	---	30	1	---	---	42,559.71		42,559.71	42,559.71
SUBTOTAL										123,356,049.88		122,122,559.71	123,143,818.51
TOTAL - GENERAL POOLED FUNDS / INVESTMENTS										163,491,205.68	G	162,256,190.50	163,276,528.70



City of Rialto

SCHEDULE OF INVESTMENTS
RSA STAGE COACH SWEEP ACCOUNT REF: H
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
RSA ACCOUNT **	10/01/20	---	10/01/20	---	---	0	0	---	---	8,242,940.55		8,242,940.55	8,242,940.55
SHARES PURCHASED	10/01/20	---	10/31/20	---	---	30	1	---	---	35,821.25		35,821.25	35,821.25
SHARES REDEEMED	10/01/20	---	10/31/20	---	---	30	1	---	---	(565,426.79)		(565,426.79)	(565,426.79)
WELLS FARGO INTEREST EARNED	10/01/20	---	10/31/20	---	0.01%	30	1	---	---	66.69		66.69	66.69
TOTAL - RSA INVESTMENTS										7,713,401.70	H	7,713,401.70	7,713,401.70

SCHEDULE OF INVESTMENTS
RUA PRE-EXISTING / INVESTMENTS - REF: I
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - RUA ACCOUNT **	10/01/20	---	10/01/20	---	---	0	0	---	---	2,176,585.79		2,176,585.79	2,176,585.79
SHARES PURCHASED	10/01/20	---	10/31/20	---	---	30	1	---	---	3,038,382.53		3,038,382.53	3,038,382.53
SHARES REDEEMED	10/01/20	---	10/31/20	---	---	30	1	---	---	(1,404,981.16)		(1,404,981.16)	(1,404,981.16)
INTEREST EARNED - WELLS FARGO	10/01/20	---	10/31/20	0.01%	0.01%	30	1	---	---	18.58		18.58	18.58
SUBTOTAL										3,810,005.74		3,810,005.74	3,810,005.74
LAIF - CALIFORNIA STATE POOL													
LAIF - POOLED FUNDS	10/01/20	---	10/01/20	0.60%	0.60%	0	0	---	---	100,060.19		100,060.19	100,060.19
INTEREST EARNED	10/01/20	---	10/31/20	0.00%	0.00%	30	1	---	---	212.57		212.57	212.57
SUBTOTAL										100,272.76		100,272.76	100,272.76
WELLS FARGO ADVISORS - RUA													
06051GFT1--BANK OF AMERICA CORP - NOTE (CUSIP 06051GFT1) - 2 YR. 3 MO.	07/26/18	---	10/19/20	2.63%	2.62%	816	27	0	A-	(990,920.00)		(1,000,000.00)	---
89233GNC6-TOYOTA MOTOR CREDIT CORPORATION	04/17/20	---	01/12/21	---	---	270	9	2	A1+	246,532.71		250,000.00	249,925.00
07274LRE9 - BAYERISCHE LANDESBK GIRO - CP	10/29/20	---	04/14/21	---	---	167	5	5	---	999,072.22		1,000,000.00	998,860.00
14912L7D7-CATERPILLAR FINANCIAL SERVICES CORP	04/02/20	---	10/01/21	1.93%	1.90%	547	18	11	A	248,477.50		250,000.00	253,862.50
61746BED4 - MORGAN STANLEY SR UNSECURED	08/11/20	---	11/17/21	2.63%	2.56%	463	15	13	BBB+	3,862,073.50		3,850,000.00	3,940,282.50
17327T3M6-CITI GLB MARKET 1.900 02/04/23 '21 MTN	01/30/20	02/04/21	02/04/23	1.90%	1.91%	1101	36	27	BBB+	2,750,000.00		2,750,000.00	2,727,917.50
29266NX93--ENERBANK USA SALT LAKE CITY UT - CD (CUSIP 29266NX93) - 4 YR.10 MO.	02/29/16	---	01/28/21	1.85%	1.84%	1795	59	3	---	252,098.18		248,000.00	249,113.52
40434AP29--HSBC BANK USA - CD (CUSIP 40434AP29) - 4 YR. 11 MO.	02/29/16	08/23/18	02/23/21	2.75%	2.72%	1821	60	4	---	248,301.67		248,000.00	250,274.16
95001UEJ7-WELLS FARGO BANK NA CD CALLABLE	02/06/20	02/28/21	02/28/23	1.75%	1.74%	1118	37	28	---	248,000.00		248,000.00	249,383.84
21688AAF9--RABOBANK NEDERLAND - (CUSIP 21688AAF9) - 4 YR. 2 MO.	11/07/16	---	01/19/21	2.50%	2.48%	1534	50	3	A+	2,052,020.00		2,000,000.00	2,009,480.00
06747PXW4-BARCLAYS BANK PLC 1.100 06/22/20 '22 MTN	06/16/20	12/22/20	06/22/22	1.10%	1.10%	736	24	20	A	1,000,000.00		1,000,000.00	997,830.00
83369MM74 - SOCIETE GENERALE BANK - MTN	10/14/20	01/19/21	10/19/22	0.40%	0.40%	735	24	24	---	2,000,000.00		2,000,000.00	1,989,300.00
06747NQ72-BARCLAYS BNK PLC 2.250 11/22/22 '20 MTN	11/19/19	11/22/20	11/22/22	2.25%	2.24%	1099	36		A	255,000.00		255,000.00	255,033.15
83369MK84 - SOCIETE GENERALE MED TERM NTS CALLABLE	09/25/20	12/30/20	03/29/23	0.60%	0.60%	915	30		A	2,750,000.00		2,750,000.00	2,734,600.00
06747NX74-BARCLAYS BNK PLC 2.400 01/17/25 '21 MTN	01/15/20	01/17/21	01/17/25	2.40%	2.44%	1829	60	51	A	1,000,000.00		1,000,000.00	980,270.00
WFA -- TREASURY MONEY MARKET	10/01/20	---	10/31/20	---	0.02%	30	1	---	---	15,738.35		15,738.35	15,738.35
WFA -- CASH	10/01/20	---	10/31/20	---	---	30	1	---	---	9.22		9.22	9.22
SUBTOTAL										18,027,323.35		17,864,747.57	17,901,879.74
TOTAL - RUA PRE-EXISTING INVESTMENTS										21,937,601.85	I	21,775,026.07	21,812,158.24



City of Rialto

SCHEDULE OF INVESTMENTS
OTHER BANK ACCOUNTS - CASH SWEEP ACCOUNTS - REF: J
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - SPORTS CENTER & POOL													
SPORTS CENTER & POOL ACCOUNT **	10/01/20	---	10/01/20	---	---	0	0	---	---	191,353.88		191,353.88	191,353.88
SHARES REDEEMED	10/01/20	---	10/31/20	---	---	30	1	---	---	(61.85)		(61.85)	(61.85)
INTEREST EARNED - SPORTS CENTER & POOL ACCOUNT **	10/01/20	---	10/31/20	---	0.01%	30	1	---	---	1.62		1.62	1.62
SUBTOTAL										191,293.65		191,293.65	191,293.65
WELLS FARGO CASH SWEEP ACCOUNT - AMBULANCE LOCKBOX													
AMBULANCE LOCKBOX ACCOUNT **	10/01/20	---	10/01/20	---	---	0	0	---	---	2,847.61		2,847.61	2,847.61
SHARES PURCHASED	10/01/20	---	10/31/20	---	---	30	1	---	---	202,844.29		202,844.29	202,844.29
SHARES REDEEMED	10/01/20	---	10/31/20	---	---	30	1	---	---	(196,702.73)		(196,702.73)	(196,702.73)
INTEREST EARNED - AMBULANCE LOCKBOX ACCOUNT **	10/01/20	---	10/31/20	---	0.01%	30	1	---	---	0.27		0.27	0.27
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - AMBULANCE LOCKBOX **	10/01/20	---	10/31/20	---	---	30	1	---	---	(4,104.45)		(4,104.45)	(4,104.45)
SUBTOTAL										4,884.99		4,884.99	4,884.99
WELLS FARGO CASH SWEEP ACCOUNT - FLEXIBLE BENEFIT													
FLEXIBLE BENEFIT ACCOUNT**	10/01/20	---	10/01/20	---	---	0	0	---	---	34,512.14		34,512.14	34,512.14
INTEREST EARNED - FLEXIBLE BENEFIT ACCOUNT**	10/01/20	---	10/31/20	---	0.01%	30	1	---	---	0.29		0.29	0.29
SUBTOTAL										34,512.43		34,512.43	34,512.43
TOTAL - OTHER BANK ACCOUNTS INVESTMENTS										230,691.07	J	230,691.07	230,691.07

SCHEDULE OF INVESTMENTS
CEMETERY ENDOWMENT FUND - REF: K
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CEMETERY ENDOWMENT FUND													
95001D6G0 - WELLS FARGO & CO MTN CALLABLE	03/31/20	04/02/21	10/02/23	3.25%	3.24%	1280	42	35	BBB+	310,000.00		310,000.00	309,851.20
WFA -- TREASURY MONEY MARKET	10/01/20	---	10/31/20	---	0.02%	30	1	---	---	100.84		100.84	100.84
WFA -- CASH	10/01/20	---	10/31/20	---	---	30	1	---	---	5,037.87		5,037.87	5,037.87
TOTAL - CEMETERY ENDOWMENT FUND										315,138.71	K	315,138.71	314,989.91



City of Rialto

SCHEDULE OF INVESTMENTS
PEPPER AVENUE EXTENSION (RESTRICTED FUNDS) - REF: L
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
PEPPER AVENUE EXTENSION - RESTRICTED FUNDS													
LINE OF CREDIT - WELLS FARGO	06/01/20	---	12/31/21	---	---	578	19	14	---	160,190.40		160,190.40	160,190.40
COLLATERAL CERTIFICATE OF DEPOSIT - WELLS FARGO	07/12/20	---	07/12/21	---	0.01%	365	12	8	---	163,229.74		163,229.74	163,229.74
TOTAL - PEPPER AVENUE EXTENSION RESTRICTED ACCOUNTS										323,420.14	L	323,420.14	323,420.14

SCHEDULE OF INVESTMENTS
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS) - REF: M
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - ECONOMIC RESERVE													
GENERAL FUND ECONOMIC RESERVE	10/01/20	---	10/31/20	---	---	0	0	---	---	25,774,891.62		25,774,891.62	25,774,891.62
INTEREST EARNED - GENERAL FUND ECONOMIC RESERVE	10/01/20	---	10/31/20	---	0.01%	0	0	---	---	180.04		180.04	180.04
TOTAL - GENERAL FUND ECONOMIC RESERVE										25,775,071.66	M	25,775,071.66	25,775,071.66

SCHEDULE OF INVESTMENTS
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS) - REF: N
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
HIGHMARK CAPITAL MANAGEMENT													
PARS OPEB TRUST PROGRAM	10/01/20	---	10/01/20	---	---	0	0	---	---	16,801,977.39		16,801,977.39	18,581,562.66
TRANSFER TO GENERAL BANK ACCOUNT	10/01/20	---	10/31/20	---	---	30	1	---	---	0.00		0.00	0.00
TRUST FEES	10/01/20	---	10/31/20	---	---	30	1	---	---	(7,120.31)		(7,120.31)	(7,120.31)
TRANSFERS FROM GENERAL BANK ACCOUNT	10/01/20	---	10/31/20	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED	10/01/20	---	10/31/20	---	---	30	1	---	---	15,944.78		15,944.78	15,944.78
UNREALIZED GAIN/LOSS	10/01/20	---	10/31/20	---	---	30	1	---	---	0.00		0.00	(202,301.35)
TOTAL - PUBLIC AGENCY RETIREMENT (RESTRICTED FUNDS)										16,810,801.86	N	16,810,801.86	18,388,085.78

SCHEDULE OF INVESTMENTS
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES) - REF: O
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENT													
1 - 2007 COPS	10/01/20	---	10/31/20	---	---	30	1	---	---	0.00		0.00	0.00
2 - 2008 SERIES B	10/01/20	---	10/31/20	---	---	30	1	---	---	2,960,508.41		2,960,508.41	2,960,508.41
3 - 2008 SERIES C	10/01/20	---	10/31/20	---	---	30	1	---	---	1,858,716.97		1,858,716.97	1,858,716.97
4 - 2014 SERIES A	10/01/20	---	10/31/20	---	---	30	1	---	---	30.23		30.23	30.23
5 - 2015 SERIES A & B	10/01/20	---	10/31/20	---	---	30	1	---	---	21.76		21.76	21.76
6 - 2015 SERIES C	10/01/20	---	10/31/20	---	---	30	1	---	---	12.74		12.74	12.74
7 - 2016 REFUNDING 2008 SERIES A	10/01/20	---	10/31/20	---	---	30	1	---	---	22.22		22.22	22.22
8 - 2016 ELM PARK	10/01/20	---	10/31/20	---	---	30	1	---	---	454,022.91		454,022.91	454,022.91
TOTAL - CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)										5,273,335.24	O	5,273,335.24	5,273,335.24



City of Rialto

SCHEDULE OF INVESTMENTS
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT) - REF: P
OCTOBER 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENTS - RUA (CONCESSION AGREEMENT)													
1 - RIALTO TRUST AND RUA LOCKBOX ACCOUNTS	10/01/20	---	10/31/20	---	---	30	1	---	---	9,181,945.32		9,181,945.32	9,181,945.32
2 - WASTEWATER ACCOUNTS	10/01/20	---	10/31/20	---	---	30	1	---	---	10,411,562.40		10,411,562.40	10,424,243.26
3 - WATER ACCOUNTS	10/01/20	---	10/31/20	---	---	30	1	---	---	6,609,972.42		6,609,972.42	6,609,972.42
TOTAL - CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)										26,203,480.14	P	26,203,480.14	26,216,161.00

NOTE. P2. CASH W/FISCAL AGENTS - RUA, WASTEWATER RESERVE ACCOUNT AS OF 10/31/2020, INCLUDES CASH AND INVESTMENTS, \$3,441,445.90 AND \$2,942,000.00 RESPECTIVELY.

NOTE. P3. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 10/31/2020, INCLUDES CASH AND CASH EQUIVALENTS AT \$3,614,370.41.

RECAP - INVESTMENTS & CASH W/FISCAL AGENTS

GENERAL POOLED FUNDS / INVESTMENTS	163,491,205.68	REF
RSA / STAGE COACH SWEEP ACCOUNT	7,713,401.70	G
RUA / INVESTMENTS & STAGE COACH SWEEP ACCOUNT	21,937,601.85	H
OTHER BANK ACCOUNTS / STAGE COACH ACCOUNTS	230,691.07	I
CEMETERY ENDOWMENT FUND / INVESTMENTS	315,138.71	J
PEPPER AVENUE EXTENSION - LINE OF CREDIT & CD (RESTRICTED FUNDS)	323,420.14	K
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)	25,775,071.66	L
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS)	16,810,801.86	M
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)	5,273,335.24	N
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)	26,203,480.14	O
TOTAL - RECAP INVESTMENTS & CASH W/FISCAL AGENTS	268,074,148.05	P
		(G-P)



City of Rialto

INVESTMENT INTEREST & GAINS/(LOSS) SCHEDULE OCTOBER 2020

INTEREST & GAIN/(LOSS) SUMMARY		7/31/20 - 09/30/20	10/31/2020	TOTAL TO DATE	
GENERAL POOLED FUNDS / INVESTMENTS		911,942.98	408,774.19	1,320,717.17	
RSA BANK SWEEP ACCOUNT INTEREST		931.25	66.69	997.94	
RUA PRE-EXISTING / INVESTMENTS		122,594.82	24,645.45	147,240.27	
OTHER BANK ACCOUNTS		16.34	2.18	18.52	
ESCROW ACCOUNTS		41,044.84	8,152.06	49,196.90	
CEMETERY ENDOWMENT (RESTRICTED ACCOUNTS)		3.12	5,038.63	5,041.75	
PEPPER AVENUE EXTENSION (RESTRICTED ACCOUNTS)		-	-	-	
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)		1,642.62	180.04	1,822.66	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		263,369.98	15,944.78	279,314.76	
CASH W/FISCAL AGENTS - (RESTRICTED BOND ISSUES)		1,179.01	194.56	1,373.57	
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)		12,339.09	10,054.10	22,393.19	
TOTAL		1,355,064.05	473,052.68	1,828,116.73	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS) MONTHLY DETAIL		Unrealized Gain(Loss)	Interest	Expenses	Total To Date
07/31/20		658,716.16	15,242.20	6,931.21	667,027.15
08/31/20		475,359.05	203,557.60	7,076.81	671,839.84
09/30/20		(423,751.65)	44,570.18	7,146.85	(386,328.32)
10/31/20		(202,301.35)	15,944.78	7,120.31	(193,476.88)
11/30/20		0.00	0.00	0.00	0.00
12/31/20		0.00	0.00	0.00	0.00
01/31/21		0.00	0.00	0.00	0.00
02/28/21		0.00	0.00	0.00	0.00
03/31/21		0.00	0.00	0.00	0.00
04/30/21		0.00	0.00	0.00	0.00
05/31/21		0.00	0.00	0.00	0.00
06/30/20		0.00	0.00	0.00	0.00
TOTAL- PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		508,022.21	279,314.76	28,275.18	759,061.79



City of Rialto

TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Accrued Interest** - The interest that has accumulated on a bond since the last interest payment up to, but not including, the settlement date. Accrued interest occurs as a result of the difference in timing of cash flows and the measurement of these cash flows.
- ❖ **Call Date** - The call date is the date on which a bond can be redeemed before maturity. If the issuer feels there is a benefit to refinancing the issue, the bond may be redeemed on the call date at par or at a small premium to par.
- ❖ **Coupon Rate** - A coupon rate is the yield paid by a fixed-income security; a fixed-income security's coupon rate is simply just the annual coupon payments paid by the issuer relative to the bond's face or par value.
- ❖ **Credit Rating** - The credit rating is a financial indicator to potential investors of debt securities such as bonds. These are assigned by credit rating agencies such as Moody's, Standard & Poor's, and Fitch, which publish code designations (such as AAA, B, CC) to express their assessment of the risk quality of a bond.
- ❖ **Original Cost/Amount Invested** - The original cost of an asset takes into consideration all of the costs that can be attributed to its purchase and to putting the asset to use.
- ❖ **Market Value** - is the price an asset would fetch in the marketplace, or the value that the investment community gives to a particular equity or business.
- ❖ **Par Value** - The face value of a bond. Par value is important for a bond or fixed-income instrument because it determines its maturity value as well as the dollar value of coupon payments.
- ❖ **Realized Gain/(Loss)** - Realized gain/loss is the cumulative amount of realized gains and losses resulting from the sale of securities. A realized loss is the monetary value of a loss that results from a trade
- ❖ **StageCoach Sweep Account** – A sweep account automatically transfers cash funds into a safe but higher interest-earning investment option at the close of each business day, e.g. into a money market fund. Sweep accounts try to minimize idle cash drag by capitalizing on the immediate availability of higher-interest accounts.



City of Rialto

TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Unrealized Gains/(Loss)** - a profitable/(losing) position that has yet to be cashed in. The actual gain/(loss) is not realized until the position is closed. A position with an unrealized gain may eventually turn into a position with an unrealized loss, as the market fluctuates and vice versa.
- ❖ **Yield** - The income return on an investment. This refers to the interest or dividends received from a security and is expressed as a percentage based on the investment's cost and its current market value.