



# City of Rialto

TREASURER'S OFFICE

California

March 02, 2021

TO: The Honorable Mayor and City Council,  
City Administrator, Deputy City Manager, Interim Finance Director

FROM: Edward J. Carrillo - City Treasurer

## TREASURER'S MONTHLY REPORT - JANUARY 2021

			REF
General Pooled Funds Investments + Cash Sweep Bank Account.....	179,493,846.07	60%	A,G
Rialto Successor Agency (RSA) + Cash Sweep Bank Account.....	11,479,092.40	4%	B,H
Rialto Utility Authority (RUA) Pre-existing Investments + Cash Sweep Bank Account.....	22,549,301.74	8%	C,I
Other Bank Accounts + Cash Sweep Bank Accounts.....	1,886,348.53	1%	D,J
Escrow Accounts.....	14,668,471.54	5%	E
Cemetery Endowment Fund (Restricted Accounts).....	340,832.96	0%	F,K
Pepper Avenue Extension (Restricted Accounts).....	323,420.14	0%	L
General Fund Economic Reserve (Restricted Funds).....	25,775,707.64	9%	M
Public Agency Retirement Services - OPEB (Restricted Funds).....	17,177,207.69	6%	N
Cash with Fiscal Agents - (Restricted Bond Issues).....	5,539,193.89	2%	O
Cash with Fiscal Agents - RUA (Concession Agreement).....	22,094,859.84	7%	P

### TREASURER'S PORTFOLIO

301,328,280.44	100%
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The City's Treasurer expects to have sufficient cash for the next six months, including use of General Fund's cash reserves.

The City of Rialto's Investments and funds management is in compliance with the City's Investment Policy and State Mandates. Pooled Investments have liquidity below 30 days, and therefore carry Market Value at Issued Value. Wells Fargo Advisors, Clearwater Analytics and Union Bank of California provided the Market Value of the investments held in custody. Union Bank of California (Corporate Trust Dept) and US Bank (Corporate Trust Dept.) provided Fiscal Agent information.



# City of Rialto

## TREASURER'S BANK RECONCILIATION BALANCE SHEET

ACTIVE ACCOUNTS	JANUARY 2021 BALANCE	REF
<b>GENERAL BANK ACCOUNT**</b>	<u>(1,768,122.83)</u>	<b>A</b>
<b>RSA BANK ACCOUNT**</b>	<u>(513.16)</u>	<b>B</b>
<b>RUA BANK ACCOUNT**</b>	<u>(18,930.87)</u>	<b>C</b>
SPORTS CENTER/POOL**-----	-	
AMBULANCE LOCKBOX**-----	41,286.39	
FLEXIBLE BENEFIT**-----	-	
ALARM LOCKBOX-----	70,899.47	
BANK CARD-----	1,369.43	
PAYROLL CLEARING-----	23,873.95	
LIABILITY ACCT./CARL WARREN & CO.-----	82,593.28	
PEPPER AVENUE-----	5,575.70	
WORKERS COMP/LWP CLAIMS SOLUTIONS-----	101,965.32	
PROPERTY EVIDENCE MONIES-----	1,333,186.82	
<b>OTHER BANK ACCOUNTS</b>	<u><b>1,660,750.36</b></u>	<b>D</b>
ENERGY CONSERVATION PROJECT-----	203,047.22	
SOLAR PROJECT-----	303,570.76	
AYALA @ 210 PARTNERS LLC-----	310,504.21	
LEWIS HILLWOOD-----	2,757,816.64	
ALDER AVENUE-----	3,276,786.73	
LAUREL/WALNUT/LOCUST-----	2,994,693.98	
SUB ACCOUNT A-----	4,822,052.00	
<b>ESCROW ACCOUNTS</b>	<u><b>14,668,471.54</b></u>	<b>E</b>
CEMETERY ENDOWMENT FUND-----	25,693.90	
<b>RESTRICTED ACCOUNTS</b>	<u><b>25,693.90</b></u>	<b>F</b>

Note (\*\*) - Cash is swept into Government Money Market Fund Sweep Accounts with Wells Fargo to earn interest on undisbursed monies. Accounts with negative balances are due to current month's outstanding checks.

**TOTAL - ACTIVE ACCOUNTS      14,567,348.94    (A-F)**



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL POOLED FUNDS / INVESTMENTS - REF: G  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - GENERAL	01/01/21	---	01/01/21	---	---	0	1	---	---	13,070,243.46		13,070,243.46	13,070,243.46
SHARES PURCHASED	01/01/21	---	01/31/21	---	---	30	1	---	---	14,943,889.53		14,943,889.53	14,943,889.53
SHARES REDEEMED	01/01/21	---	01/31/21	---	---	30	1	---	---	(5,646,008.10)		(5,646,008.10)	(5,646,008.10)
INTEREST EARNED - GENERAL FUND ACCOUNT	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	114.75		114.75	114.75
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - GENERAL	01/01/21	---	01/31/21	---	---	30	1	---	---	(42,251.42)		(42,251.42)	(42,251.42)
SUBTOTAL										22,325,988.22		22,325,988.22	22,325,988.22
PACIFIC PREMIER BANK #0314													
POOLED FUNDS - #0314	01/01/21	---	01/01/21	---	2.35%	0	0	---	---	5,190,633.67		5,190,633.67	5,190,633.67
INTEREST EARNED #0314	01/01/21	---	01/31/21	---	---	30	1	---	---	881.77		881.77	881.77
SUBTOTAL										5,191,515.44		5,191,515.44	5,191,515.44
PACIFIC PREMIER BANK #7667													
POOLED FUNDS - #7667	01/01/21	---	01/01/21	---	2.35%	0	0	---	---	3,527,031.22		3,527,031.22	3,527,031.22
BANKING FEE	01/01/21	---	01/31/21	---	---	30	1	---	---	(25.00)		(25.00)	(25.00)
INTEREST EARNED #7667	01/01/21	---	01/31/21	---	---	30	1	---	---	599.16		599.16	599.16
SUBTOTAL										3,527,605.38		3,527,605.38	3,527,605.38
LAIF - CALIFORNIA STATE POOL													
POOLED FUNDS - LAIF	01/01/21	---	01/01/21	---	0.42%	0	0	---	---	20,132,192.49		20,132,192.49	20,132,192.49
INTEREST EARNED	01/01/21	---	01/31/21	---	---	30	1	---	---	32,398.29		32,398.29	32,398.29
SUBTOTAL										20,164,590.78		20,164,590.78	20,164,590.78
WELLS FARGO ADVISORS - CITY OF RIALTO													
95000N3P2 - WELLS FARGO & CO MULTISTEP UP CPN MTN CALLABLE	01/19/18	01/23/21	01/23/23	2.30%	2.29%	1830	60	---	---	(5,000,000.00)		(5,000,000.00)	---
06747PSD2 - BARCLAYS BANK PLC GLOBAL MTN CALLABLE	05/18/20	05/21/21	11/21/23	1.90%	1.90%	1282	42	34	A	1,500,000.00		1,500,000.00	1,497,285.00
WFA --- TREASURY MONEY MARKET	01/31/21	---	01/31/21	---	0.01%	0	0	---	---	5,000,863.02		5,000,863.02	5,000,863.02
WFA --- CASH	01/31/21	---	01/31/21	---	---	0	0	---	---	61.47		61.47	61.47
SUBTOTAL										6,500,924.49		6,500,924.49	6,498,208.49

NOTE: G (CONTINUED TO NEXT PAGE)



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL POOLED FUNDS / INVESTMENTS - REF: G (CONTINUED)  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CUSTODIAL													
89233GN44--TOYOTA MOTOR CREDIT CORP - CP (CUSIP 89233GN44)	04/14/20	---	01/04/21	---	---	265	9	---	A1+	(9,862,866.67)		(10,000,000.00)	---
94974BFR6--WELLS FARGO - BOND (CUSIP 94974BFR6) - 3 YR. 4 MO.	09/13/17	---	01/22/21	3.00%	2.99%	1227	40	---	BBB+	(2,575,700.00)		(2,500,000.00)	---
06747NX74--BARCLAYS BANK PLC	01/15/20	01/17/21	01/17/25	2.40%	2.41%	1829	60	---	A	(2,000,000.00)		(2,000,000.00)	---
5148X0P17 - LANDESBK BADEN-WURTT NY CP	10/05/20	---	02/01/21	---	---	119	4	0	---	2,468,461.74		2,470,000.00	2,469,950.60
80285PP14 - SANTANDER UK PLC CP	09/22/20	---	02/01/21	---	---	132	4	0	A1	9,993,400.00		10,000,000.00	9,999,900.00
02665JPN4 - AMERICAN HONDA FINANCE - CP	11/24/20	---	02/22/21	---	---	90	3	1	A2	1,289,202.70		1,290,000.00	1,289,780.70
02665JPP9 - AMERICAN HONDA FIN CORP - CP	11/25/20	---	02/23/21	---	---	90	3	1	A1+	10,794,330.00		10,800,000.00	10,798,056.00
89233GLR - TOYOTA MOTOR CREDIT CORP - CP (CUSIP 89233GRL2)	01/08/21	---	04/20/21	---	---	102	3	3	A1+	3,997,734.68		4,000,000.00	3,998,760.00
69353REW4--PNC BANK NA - NOTE (CUSIP 69353REW4) 4 YR 8 MO	08/24/17	---	04/29/21	2.15%	2.14%	1344	44	3	A	3,015,000.00		3,000,000.00	3,009,690.00
53948ATR2 - LLOYDS BANK CORP MKTS/NY CP	10/05/20	---	06/25/21	---	---	263	9	5	A1	2,495,997.22		2,500,000.00	2,498,250.00
53948AU25 - LLOYDS BANK CORP MKTS/NY CP	10/29/20	---	07/02/21	---	---	246	8	5	A1	1,247,864.57		1,250,000.00	1,249,075.00
36962GSJ9--GE CAPITAL CORP - INTERNOTES (CUSIP 36962GSJ9) - 4 YR. 1 MO.	09/13/17	---	10/17/21	4.65%	4.51%	1495	49	9	BBB+	3,315,540.00		3,000,000.00	3,086,790.00
46625HJD3--JPMORGAN CHASE - BOND (CUSIP 46625HJD3) - 4 YR. 4 MO.	09/13/17	---	01/24/22	4.50%	4.31%	1594	52	12	A-	3,265,620.00		3,000,000.00	3,125,310.00
94974BFC9--WELLS FARGO BANK - NOTES (CUSIP 94974BFC9) - 3 YR. 1 MO.	01/29/19	---	03/08/22	3.50%	3.38%	1134	37	13	BBB+	5,044,300.00		5,000,000.00	5,175,150.00
38141GWC4 - GOLDMAN SACHS GRP INC SR UNSECURED CALLABLE	08/17/20	04/26/21	04/26/22	3.00%	2.98%	617	20	15	BBB+	10,174,400.00		10,000,000.00	10,062,900.00
95001DA26 - WELLS FARGO & CO MTN	01/08/21	---	06/02/22	---	1.24%	510	17	16	BBB+	4,012,000.00		4,000,000.00	4,003,920.00
38150AEJO - GOLDMAN SACHS GRP INC MTN	11/20/20	05/24/21	11/25/22	0.40%	0.40%	735	24	22	BBB+	7,497,500.00		7,500,000.00	7,460,550.00
94974BFJ4 - WELLS FARGO & CO	11/04/19	---	02/13/23	3.45%	3.25%	1197	39	24	BBB	4,988,160.00		4,800,000.00	5,086,896.00
38150AEF8 - GOLDMAN SACH GRP MTN	11/20/20	11/24/21	11/24/23	2.43%	0.60%	1099	36	34	BBB+	3,000,000.00		3,000,000.00	2,971,950.00
95001D5Y2--WELLS FARGO & CO	03/13/20	03/17/21	03/17/25	1.75%	1.76%	1830	60	50	BBB+	2,500,000.00		2,500,000.00	2,484,625.00
404280AV1--HSBC HOLDINGS PLC - BOND (CUSIP 404280AV1) - 2 YR.	03/04/19	---	03/08/21	3.40%	3.38%	735	24	1	A-	2,015,460.00		2,000,000.00	2,006,000.00
00254EMU3 - SWEDISH EXPORT CREDIT SR	01/12/21	---	04/09/21	---	2.36%	87	3	2	AA+	6,030,780.00		6,000,000.00	6,024,300.00
06747QBH9--BARCLAYS BANK PLC - MTN (CUSIP 06747QBH9)	07/29/20	01/31/21	07/31/22	0.95%	0.95%	732	24	18	A	8,000,000.00		8,000,000.00	8,000,000.00
53944VAS8--LLOYDS BANK PLC	12/09/19	---	08/14/22	2.25%	2.18%	979	32	18	A+	2,009,300.00		2,000,000.00	2,056,900.00
83369MG48 - SOCIETE GENERALE COMPANY MTN CALLABLE	08/26/20	02/28/21	08/31/22	0.50%	0.50%	735	24	19	---	7,000,000.00		7,000,000.00	6,983,200.00
83369MK84 - SOCIETE GENERALE COMPANY MTN CALLABLE	09/25/20	12/30/20	03/29/23	0.60%	0.60%	915	30	26	A	10,250,000.00		10,250,000.00	10,209,000.00
06747Q3B1--BARCLAYS BANK PLC - MTN (CUSIP 06747Q3B1)	06/29/20	07/02/21	01/02/24	1.35%	1.36%	1282	42	35	A	1,000,000.00		1,000,000.00	990,980.00
13605WQ79 - CANADIAN IMPERIAL BK COMM MT NTS	06/29/20	07/02/21	01/02/24	1.35%	0.55%	1282	42	35	A	3,000,000.00		3,000,000.00	2,989,230.00
06747PKV0--BARCLAYS BANK PLC - MTN (06747PKV0)	06/08/20	04/08/23	04/08/25	3.25%	3.09%	1765	58	50	A	5,136,480.00		4,920,000.00	5,158,620.00
WFA--TREASURY MONEY MARKET	01/31/21	---	01/31/21	---	0.01%	0	0	---	---	8,992.55		8,992.55	8,992.55
WFA--CASH	01/31/21	---	01/31/21	---	---	0	0	---	---	821.13		821.13	821.13
SUBTOTAL										123,551,344.59		122,289,813.68	123,199,596.98
TOTAL - GENERAL POOLED FUNDS / INVESTMENTS										181,261,968.90	G	180,000,437.99	180,907,506.29



# City of Rialto

SCHEDULE OF INVESTMENTS  
RSA STAGE COACH SWEEP ACCOUNT REF: H  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
RSA ACCOUNT **	01/01/21	---	01/01/21	---	---	0	0	---	---	11,231,911.56		11,231,911.56	11,231,911.56
SHARES PURCHASED	01/01/21	---	01/31/21	---	---	30	1	---	---	249,038.11		249,038.11	249,038.11
SHARES REDEEMED	01/01/21	---	01/31/21	---	---	30	1	---	---	(1,440.73)		(1,440.73)	(1,440.73)
WELLS FARGO INTEREST EARNED	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	96.62		96.62	96.62
TOTAL - RSA INVESTMENTS										11,479,605.56	H	11,479,605.56	11,479,605.56



# City of Rialto

SCHEDULE OF INVESTMENTS  
RUA PRE-EXISTING / INVESTMENTS - REF: I  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - RUA ACCOUNT **	01/01/21	---	01/01/21	---	---	0	0	---	---	3,892,528.14		3,892,528.14	3,892,528.14
SHARES PURCHASED	01/01/21	---	01/31/21	---	---	30	1	---	---	2,669,117.46		2,669,117.46	2,669,117.46
SHARES REDEEMED	01/01/21	---	01/31/21	---	---	30	1	---	---	(2,068,479.27)		(2,068,479.27)	(2,068,479.27)
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - RUA ACCOUNT **	01/01/21	---	01/31/21	---	---	30	1	---	---	(11.00)		(11.00)	(11.00)
INTEREST EARNED - WELLS FARGO	01/01/21	---	01/31/21	---	---	30	1	---	---	30.95		30.95	30.95
SUBTOTAL										4,493,186.28		4,493,186.28	4,493,186.28
LAIF - CALIFORNIA STATE POOL													
LAIF- POOLED FUNDS	01/01/21	---	01/01/21	---	0.42%	0	0	---	---	100,272.76		100,272.76	100,272.76
INTEREST EARNED	01/01/21	---	01/31/21	---	---	30	1	---	---	158.54		158.54	158.54
SUBTOTAL										100,431.30		100,431.30	100,431.30
WELLS FARGO ADVISORS - RUA													
89233GNC6-TOYOTA MOTOR CREDIT CORPORATION	04/17/20	---	01/12/21	---	---	270	9	---	---	(250,000.00)		(250,000.00)	---
06747NX74-BARCLAYS BNK PLC 2.400 01/17/25 '21 MTN	01/15/20	---	01/17/25	2.40%	2.41%	1829	60	---	---	(1,000,000.00)		(1,000,000.00)	---
21688AAF9--RABOBANK NEDERLAND - (CUSIP 21688AAF9) - 4 YR. 2 MO.	11/07/16	---	01/19/21	2.50%	2.49%	1534	50	---	---	(2,000,000.00)		(2,000,000.00)	---
29266NX93--ENERBANK USA SALT LAKE CITY UT - CD (CUSIP 29266NX93) - 4 YR.10 MO.	02/29/16	---	01/28/21	1.85%	1.84%	1795	59	---	---	(248,000.00)		(248,000.00)	---
07274LRE9 - BAYERISCHE LANDESBK GIRO - CP	10/29/20	---	04/14/21	---	---	167	5	2	---	999,072.22		1,000,000.00	999,630.00
14912L707-CATERPILLAR FINANCIAL SERVICES CORP	04/02/20	---	10/01/21	1.93%	1.90%	547	18	8	A	248,477.50		250,000.00	252,855.00
61746BED4 - MORGAN STANLEY SR UNSECURED	08/11/20	---	11/17/21	2.63%	2.57%	463	15	10	BBB+	3,962,073.50		3,850,000.00	3,921,225.00
95001DA26-WELLS FARGO 1.25 06/02/22 MTN	01/08/21	06/02/21	06/02/22	1.25%	1.24%	510	17	16	BBB+	1,003,000.00		1,000,000.00	1,000,980.00
17327T3M6-CITI GLB MARKET 1.900 02/04/23 '21 MTN	01/30/20	02/04/21	02/04/23	1.90%	1.90%	1101	36	24	BBB+	2,750,000.00		2,750,000.00	2,749,917.50
40434AP29--HSBC BANK USA - CD (CUSIP 40434AP29) - 4 YR. 11 MO.	02/29/16	08/23/18	02/23/21	2.75%	2.74%	1821	60	1	---	248,301.67		248,000.00	248,488.56
95001UEJ7-WELLS FARGO BANK NA CD CALLABLE	02/06/20	02/08/21	02/28/23	1.39%	1.74%	1118	37	25	---	248,000.00		248,000.00	248,310.00
83369MG48 - SOCIETE GENERALE BANK -MED TERM NTS CALLABLE	01/14/21	05/31/21	08/31/22	0.50%	0.50%	594	20	19	A	3,000,000.00		3,000,000.00	2,992,800.00
83369MM74 - SOCIETE GENERALE BANK - MTN	10/14/20	01/19/21	10/19/22	0.40%	0.40%	735	24	21	---	2,000,000.00		2,000,000.00	1,991,980.00
83369MK94 - SOCIETE GENERALE MED TERM NTS CALLABLE	09/25/20	12/30/20	03/29/23	0.60%	0.60%	915	30	26	A	2,750,000.00		2,750,000.00	2,739,000.00
13605WQ79 - CANADIAN IMPERIAL BANK COMM MTN CALLABLE	12/18/20	12/22/21	06/22/24	0.55%	0.55%	1282	42	41	BBB+	270,000.00		270,000.00	269,030.70
WFA --- TREASURY MONEY MARKET	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	763.82		763.82	763.82
WFA --- CASH	01/01/21	---	01/31/21	---	---	30	1	---	---	494,926.32		494,926.32	494,926.32
SUBTOTAL										17,974,615.03		17,861,690.14	17,909,906.90
TOTAL - RUA PRE-EXISTING INVESTMENTS										22,568,232.61	I	22,455,307.72	22,503,524.48



# City of Rialto

SCHEDULE OF INVESTMENTS  
OTHER BANK ACCOUNTS - CASH SWEEP ACCOUNTS - REF: J  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - SPORTS CENTER & POOL													
SPORTS CENTER & POOL ACCOUNT **	01/01/21	---	01/01/21	---	---	0	0	---	---	191,144.97		191,144.97	191,144.97
SHARES REDEEMED	01/01/21	---	01/31/21	---	---	30	1	---	---	(61.85)		(61.85)	(61.85)
INTEREST EARNED - SPORTS CENTER & POOL ACCOUNT **	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	1.62		1.62	1.62
SUBTOTAL										191,084.74		191,084.74	191,084.74
WELLS FARGO CASH SWEEP ACCOUNT - FLEXIBLE BENEFIT													
FLEXIBLE BENEFIT ACCOUNT**	01/01/21	---	01/01/21	---	---	0	0	---	---	34,513.14		34,513.14	34,513.14
INTEREST EARNED - FLEXIBLE BENEFIT ACCOUNT**	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	0.29		0.29	0.29
SUBTOTAL										34,513.43		34,513.43	34,513.43
TOTAL - OTHER BANK ACCOUNTS INVESTMENTS										225,598.17	J	225,598.17	225,598.17

SCHEDULE OF INVESTMENTS  
CEMETERY ENDOWMENT FUND - REF: K  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CEMETERY ENDOWMENT FUND													
95001D600 - WELLS FARGO & CO MTN CALLABLE	03/31/20	04/02/21	10/02/23	3.25%	3.25%	1280	42	32	BBB+	310,000.00		310,000.00	309,063.80
WFA --- TREASURY MONEY MARKET	01/01/21	---	01/31/21	---	0.01%	30	1	---	---	100.84		100.84	100.84
WFA --- CASH	01/01/21	---	01/31/21	---	---	30	1	---	---	5,038.22		5,038.22	5,038.22
TOTAL - CEMETERY ENDOWMENT FUND										315,139.06	K	315,139.06	314,202.86

SCHEDULE OF INVESTMENTS  
PEPPER AVENUE EXTENSION (RESTRICTED FUNDS) - REF: L  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
PEPPER AVENUE EXTENSION - RESTRICTED FUNDS													
LINE OF CREDIT - WELLS FARGO	06/01/20	---	12/31/21	---	---	578	19	11	---	160,190.40		160,190.40	160,190.40
COLLATERAL CERTIFICATE OF DEPOSIT - WELLS FARGO	07/12/20	---	07/12/21	---	0.01%	365	12	5	---	163,229.74		163,229.74	163,229.74
TOTAL - PEPPER AVENUE EXTENSION RESTRICTED ACCOUNTS										323,420.14	L	323,420.14	323,420.14



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS) - REF: M  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - ECONOMIC RESERVE													
GENERAL FUND ECONOMIC RESERVE	01/01/21	---	01/31/21	---	---	0	0	---	---	25,775,467.92		25,775,467.92	25,775,467.92
INTEREST EARNED - GENERAL FUND ECONOMIC RESERVE	01/01/21	---	01/31/21	---	0.01%	0	0	---	---	239.72		239.72	239.72
TOTAL - GENERAL FUND ECONOMIC RESERVE										25,775,707.64	M	25,775,707.64	25,775,707.64

SCHEDULE OF INVESTMENTS  
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS) - REF: N  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
HIGHMARK CAPITAL MANAGEMENT													
PARS OPEB TRUST PROGRAM	01/01/21	---	01/01/21	---	---	0	0	---	---	17,446,908.22		17,446,908.22	20,636,769.09
TRANSFER TO GENERAL BANK ACCOUNT	01/01/21	---	01/31/21	---	---	30	1	---	---	(657,949.03)		(657,949.03)	(657,949.03)
TRUST FEES	01/01/21	---	01/31/21	---	---	30	1	---	---	(7,634.54)		(7,634.54)	(7,634.54)
TRANSFERS FROM GENERAL BANK ACCOUNT	01/01/21	---	01/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED	01/01/21	---	01/31/21	---	---	30	1	---	---	395,883.04		395,883.04	395,883.04
UNREALIZED GAIN/LOSS	01/01/21	---	01/31/21	---	---	30	1	---	---	0.00		0.00	(387,047.97)
TOTAL - PUBLIC AGENCY RETIREMENT (RESTRICTED FUNDS)										17,177,207.69	N	17,177,207.69	19,980,020.59

SCHEDULE OF INVESTMENTS  
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES) - REF: O  
JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENT													
1 - 2007 COPS	01/01/21	---	01/31/21	---	---	30	1	---	---	265,447.50		265,447.50	265,447.50
2 - 2008 SERIES B	01/01/21	---	01/31/21	---	---	30	1	---	---	2,960,767.78		2,960,767.78	2,960,767.78
3 - 2008 SERIES C	01/01/21	---	01/31/21	---	---	30	1	---	---	1,858,861.89		1,858,861.89	1,858,861.89
4 - 2014 SERIES A	01/01/21	---	01/31/21	---	---	30	1	---	---	30.23		30.23	30.23
5 - 2015 SERIES A & B	01/01/21	---	01/31/21	---	---	30	1	---	---	21.76		21.76	21.76
6 - 2015 SERIES C	01/01/21	---	01/31/21	---	---	30	1	---	---	12.74		12.74	12.74
7 - 2018 REFUNDING 2008 SERIES A	01/01/21	---	01/31/21	---	---	30	1	---	---	22.22		22.22	22.22
8 - 2016 ELM PARK	01/01/21	---	01/31/21	---	---	30	1	---	---	454,029.77		454,029.77	454,029.77
TOTAL - CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)										5,539,193.89	O	5,539,193.89	5,539,193.89



# City of Rialto

## SCHEDULE OF INVESTMENTS

CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT) - REF: P

JANUARY 2020

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENTS - RUA (CONCESSION AGREEMENT)													
1 - RIALTO TRUST AND RUA LOCKBOX ACCOUNTS	01/01/21	---	01/31/21	---	---	30	1	---	---	4,339,496.48		4,339,496.48	4,339,496.48
2 - WASTEWATER ACCOUNTS	01/01/21	---	01/31/21	---	---	30	1	---	---	9,583,714.77		9,583,714.77	9,588,764.05
3 - WATER ACCOUNTS	01/01/21	---	01/31/21	---	---	30	1	---	---	8,171,648.59		8,171,648.59	8,171,648.59
TOTAL - CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)										22,094,859.84	P	22,094,859.84	22,099,909.12

NOTE. P2. CASH W/FISCAL AGENTS - RUA, WASTEWATER RESERVE ACCOUNT AS OF 01/31/21, INCLUDES CASH AND INVESTMENTS, \$5,397,593.07 AND \$992,000.00 RESPECTIVELY.

NOTE. P3. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 01/31/21, INCLUDES CASH AND CASH EQUIVALENTS AT \$3,614,370.41.

## RECAP - INVESTMENTS & CASH W/FISCAL AGENTS

		REF
GENERAL POOLED FUNDS / INVESTMENTS	181,261,968.90	G
RSA / STAGE COACH SWEEP ACCOUNT	11,479,605.56	H
RUA / INVESTMENTS & STAGE COACH SWEEP ACCOUNT	22,568,232.61	I
OTHER BANK ACCOUNTS / STAGE COACH ACCOUNTS	225,598.17	J
CEMETERY ENDOWMENT FUND / INVESTMENTS	315,139.06	K
PEPPER AVENUE EXTENSION - LINE OF CREDIT & CD (RESTRICTED FUNDS)	323,420.14	L
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)	25,775,707.64	M
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS)	17,177,207.69	N
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)	5,539,193.89	O
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)	22,094,859.84	P
TOTAL - RECAP INVESTMENTS & CASH W/FISCAL AGENTS	286,760,933.50	(G-P)



# City of Rialto

## INVESTMENT INTEREST & GAINS/(LOSS) SCHEDULE

JANUARY 2021

INTEREST & GAIN/(LOSS) SUMMARY		7/31/20 - 12/31/20	1/31/2021	TOTAL TO DATE	
GENERAL POOLED FUNDS / INVESTMENTS		1,424,118.76	189,159.42	1,613,278.18	
RSA BANK SWEEP ACCOUNT INTEREST		1,153.40	96.62	1,250.02	
RUA PRE-EXISTING / INVESTMENTS		219,216.33	(15,563.34)	203,652.99	
OTHER BANK ACCOUNTS		23.07	1.91	24.96	
ESCROW ACCOUNTS		61,410.23	(9,185.95)	52,224.28	
CEMETERY ENDOWMENT (RESTRICTED ACCOUNTS)		5,044.20	1.13	5,045.33	
PEPPER AVENUE EXTENSION (RESTRICTED ACCOUNTS)		-	-	-	
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)		2,218.92	239.72	2,458.64	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		929,961.22	395,883.04	1,325,844.26	
CASH W/FISCAL AGENTS - (RESTRICTED BOND ISSUES)		1,645.51	111.21	1,756.72	
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)		27,529.34	1,011.02	28,540.36	
TOTAL		2,672,320.98	561,754.78	3,234,075.76	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS) MONTHLY DETAIL		Unrealized Gain(Loss)	Interest	Expenses	Total To Date
07/31/20		658,716.16	15,242.20	6,931.21	667,027.15
08/31/20		475,359.05	203,557.60	7,076.81	671,839.84
09/30/20		(423,751.65)	44,570.18	7,146.85	(386,328.32)
10/31/20		(202,301.35)	15,944.78	7,120.31	(193,476.88)
11/30/20		1,563,682.72	15,048.11	7,073.10	1,571,657.73
12/31/20		48,894.23	635,598.35	7,467.00	677,025.58
01/31/21		(387,047.97)	395,883.04	7,634.56	1,200.51
02/28/21		0.00	0.00	0.00	0.00
03/31/21		0.00	0.00	0.00	0.00
04/30/21		0.00	0.00	0.00	0.00
05/31/21		0.00	0.00	0.00	0.00
06/30/20		0.00	0.00	0.00	0.00
TOTAL- PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		1,733,551.19	1,325,844.26	50,449.84	3,008,945.61



# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Accrued Interest** - The interest that has accumulated on a bond since the last interest payment up to, but not including, the settlement date. Accrued interest occurs as a result of the difference in timing of cash flows and the measurement of these cash flows.
- ❖ **Call Date** - The call date is the date on which a bond can be redeemed before maturity. If the issuer feels there is a benefit to refinancing the issue, the bond may be redeemed on the call date at par or at a small premium to par.
- ❖ **Coupon Rate** - A coupon rate is the yield paid by a fixed-income security; a fixed-income security's coupon rate is simply just the annual coupon payments paid by the issuer relative to the bond's face or par value.
- ❖ **Credit Rating** - The credit rating is a financial indicator to potential investors of debt securities such as bonds. These are assigned by credit rating agencies such as Moody's, Standard & Poor's, and Fitch, which publish code designations (such as AAA, B, CC) to express their assessment of the risk quality of a bond.
- ❖ **Original Cost/Amount Invested** - The original cost of an asset takes into consideration all of the costs that can be attributed to its purchase and to putting the asset to use.
- ❖ **Market Value** - is the price an asset would fetch in the marketplace, or the value that the investment community gives to a particular equity or business.
- ❖ **Par Value** - The face value of a bond. Par value is important for a bond or fixed-income instrument because it determines its maturity value as well as the dollar value of coupon payments.
- ❖ **Realized Gain/(Loss)** - Realized gain/loss is the cumulative amount of realized gains and losses resulting from the sale of securities. A realized loss is the monetary value of a loss that results from a trade
- ❖ **StageCoach Sweep Account** – A sweep account automatically transfers cash funds into a safe but higher interest-earning investment option at the close of each business day, e.g. into a money market fund. Sweep accounts try to minimize idle cash drag by capitalizing on the immediate availability of higher-interest accounts.



# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Unrealized Gains/(Loss)** - a profitable/(losing) position that has yet to be cashed in. The actual gain/(loss) is not realized until the position is closed. A position with an unrealized gain may eventually turn into a position with an unrealized loss, as the market fluctuates and vice versa.
- ❖ **Yield** - The income return on an investment. This refers to the interest or dividends received from a security and is expressed as a percentage based on the investment's cost and its current market value.