



# City of Rialto

TREASURER'S OFFICE

California

June 11, 2021

TO: The Honorable Mayor and City Council,  
City Administrator, Deputy City Manager, Interim Finance Director

FROM: Edward J. Carrillo - City Treasurer

## TREASURER'S MONTHLY REPORT - MAY 2021

			REF
General Pooled Funds Investments + Cash Sweep Bank Account.....	198,258,035.95	62%	A,G
Rialto Successor Agency (RSA) + Cash Sweep Bank Account.....	11,895,125.70	4%	B,H
Rialto Utility Authority (RUA) Pre-existing Investments + Cash Sweep Bank Account.....	22,571,414.83	7%	C,I
Other Bank Accounts + Cash Sweep Bank Accounts.....	1,674,982.61	1%	D,J
Escrow Accounts.....	14,247,187.48	4%	E
Cemetery Endowment Fund (Restricted Accounts).....	379,531.36	0%	F,K
Pepper Avenue Extension (Restricted Accounts).....	324,099.11	0%	L
General Fund Economic Reserve (Restricted Funds).....	25,775,295.47	8%	M
Public Agency Retirement Services - OPEB (Restricted Funds).....	17,295,319.68	5%	N
Cash with Fiscal Agents - (Restricted Bond Issues).....	5,272,275.50	2%	O
Cash with Fiscal Agents - RUA (Concession Agreement).....	22,807,933.70	7%	P

### TREASURER'S PORTFOLIO

320,501,201.39	100%
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The City's Treasurer expects to have sufficient cash for the next six months, including use of General Fund's cash reserves.

The City of Rialto's Investments and funds management is in compliance with the City's Investment Policy and State Mandates. Pooled Investments have liquidity below 30 days, and therefore carry Market Value at Issued Value. Wells Fargo Advisors, Clearwater Analytics and Union Bank of California provided the Market Value of the investments held in custody. Union Bank of California (Corporate Trust Dept) and US Bank (Corporate Trust Dept.) provided Fiscal Agent information.



# City of Rialto

## TREASURER'S BANK RECONCILIATION BALANCE SHEET

ACTIVE ACCOUNTS	APRIL 2021 BALANCE	RECEIPTS	DISBURSEMENTS	MAY 2021 BALANCE	REF
GENERAL BANK ACCOUNT **	<u>(3,855,466.79)</u>	57,051,097.58	55,803,875.06	<u>(2,608,244.27)</u>	A
RSA BANK ACCOUNT **	<u>(16.80)</u>	3,651,457.99	3,665,941.19	<u>(14,500.00)</u>	B
RUA BANK ACCOUNT **	<u>(62,122.57)</u>	138,741.45	96,219.10	<u>(19,600.22)</u>	C
SPORTS CENTER/POOL **	-	200,109.29	200,109.29	-	
AMBULANCE LOCKBOX	43,061.72	236,972.61	206,124.55	73,909.78	
FLEXIBLE BENEFIT**	-	0.28	0.28	-	
ALARM LOCKBOX	9,945.00	8,600.80	10,334.90	8,210.90	
BANK CARD	941.93	-	182.49	759.44	
PAYROLL CLEARING	29,282.52	4,611,964.33	4,613,764.42	27,482.43	
LIABILITY ACCT./CARL WARREN & CO.	362.97	99,636.81	31,510.80	68,488.98	
PEPPER AVENUE	5,575.70	-	-	5,575.70	
WORKERS COMP/LWP CLAIMS SOLUTIONS	8,102.03	296,355.04	219,347.65	85,109.42	
PROPERTY EVIDENCE MONIES	1,346,490.48	9,106.54	-	1,355,597.02	
<b>OTHER BANK ACCOUNTS</b>	<b>1,443,762.35</b>	<b>5,462,745.70</b>	<b>5,281,374.38</b>	<b>1,625,133.67</b>	<b>D</b>
ENERGY CONSERVATION PROJECT	84,786.55	-	-	84,786.55	
SOLAR PROJECT	-	-	-	-	
AYALA @ 210 PARTNERS LLC	310,557.21	18.46	-	310,575.67	
LEWIS HILLWOOD	2,759,914.60	485.20	5,000.00	2,755,399.80	
ALDER AVENUE	3,279,279.47	577.37	-	3,279,856.84	
LAUREL/WALNUT/LOCUST	2,996,972.14	526.57	7,500.00	2,989,998.71	
SUB ACCOUNT A	4,825,720.27	849.64	-	4,826,569.91	
<b>ESCROW ACCOUNTS</b>	<b>14,257,230.24</b>	<b>2,457.24</b>	<b>12,500.00</b>	<b>14,247,187.48</b>	<b>E</b>
CEMETERY ENDOWMENT FUND	59,350.98	1.51	-	59,352.49	
<b>RESTRICTED ACCOUNTS</b>	<b>59,350.98</b>	<b>1.51</b>	<b>-</b>	<b>59,352.49</b>	<b>F</b>
<b>TOTAL - ACTIVE ACCOUNTS</b>	<b>11,842,737.41</b>			<b>13,289,329.15</b>	<b>(A-F)</b>

Note (\*\*) All cash is swept in Government Money Market Fund Sweep Accounts with Wells Fargo.  
Accounts with negative balances are due to current month's outstanding checks.



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL POOLED FUNDS / INVESTMENTS - REF: G  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - GENERAL	05/01/21	---	05/01/21	---	---	0	1	---	---	40,075,433.98		40,075,433.98	40,075,433.98
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	44,149,165.53		44,149,165.53	44,149,165.53
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	(26,381,235.09)		(26,381,235.09)	(26,381,235.09)
INTEREST EARNED - GENERAL FUND ACCOUNT	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	440.72		440.72	440.72
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - GENERAL	05/01/21	---	05/31/21	---	---	30	1	---	---	2,505,493.74		2,505,493.74	2,505,493.74
SUBTOTAL										60,349,298.88		60,349,298.88	60,349,298.88
PACIFIC PREMIER BANK #0314													
POOLED FUNDS - #0314	05/01/21	---	05/01/21	---	2.35%	0	0	---	---	5,193,677.84		5,193,677.84	5,193,677.84
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
BANKING FEE	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRANSFER FROM GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED #0314	05/01/21	---	05/31/21	---	---	30	1	---	---	661.70		661.70	661.70
SUBTOTAL										5,194,339.54		5,194,339.54	5,194,339.54
PACIFIC PREMIER BANK #7667													
POOLED FUNDS - #7667	05/01/21	---	05/01/21	---	2.35%	0	0	---	---	3,528,999.70		3,528,999.70	3,528,999.70
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
BANKING FEE	05/01/21	---	05/31/21	---	---	30	1	---	---	(25.00)		(25.00)	(25.00)
TRANSFER FROM GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED #7667	05/01/21	---	05/31/21	---	---	30	1	---	---	449.61		449.61	449.61
SUBTOTAL										3,529,424.31		3,529,424.31	3,529,424.31
LAIF - CALIFORNIA STATE POOL													
POOLED FUNDS - LAIF	05/01/21	---	05/31/21	---	0.35%	30	1	---	---	20,186,620.29		20,186,620.29	20,186,620.29
INTEREST EARNED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRANSFER FROM GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SUBTOTAL										20,186,620.29		20,186,620.29	20,186,620.29
WELLS FARGO ADVISORS - CERTIFICATES OF DEPOSITS													
WFA --- TREASURY MONEY MARKET	05/31/21	---	05/31/21	---	0.06%	0	0	---	---	0.00		0.00	0.00
WFA --- CASH	05/31/21	---	05/31/21	---	---	0	0	---	---	0.00		0.00	0.00
SUBTOTAL										0.00		0.00	0.00
WELLS FARGO ADVISORS - CITY OF RIALTO													
WFA --- TREASURY MONEY MARKET	05/31/21	---	05/31/21	---	0.01%	0	0	---	---	0.00		0.00	0.00
WFA --- CASH	05/31/21	---	05/31/21	---	---	0	0	---	---	0.00		0.00	0.00
SUBTOTAL										0.00		0.00	0.00

NOTE: G (CONTINUED TO NEXT PAGE)



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL POOLED FUNDS / INVESTMENTS - REF: G (CONTINUED)  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CUSTODIAL													
87019RSJ6 - SWEDBANK CP	02/09/21	---	05/18/21	---	---	98	3	---	---	(12,494,895.87)		(12,500,000.00)	---
06747PSD2 - BARCLAYS BANK PLC GLOBAL MTN CALLABLE	05/18/20	05/21/21	01/21/23	1.90%	1.90%	978	32	---	---	(1,500,000.00)		(1,500,000.00)	---
53948ATR2 - LLOYDS BANK CORP MKTS/NY CP	10/05/20	---	06/25/21	---	---	263	9	---	A1	2,495,997.22		2,500,000.00	2,499,850.00
53948AU25 - LLOYDS BANK CORP MKTS/NY CP	10/29/20	---	07/02/21	---	---	246	8	1	A1	1,247,864.57		1,250,000.00	1,249,900.00
36962G5J9 - GE CAPITAL CORP INTERNOTES MTN	09/13/17	---	10/17/21	4.65%	4.57%	1495	49	5	BBB+	3,315,540.00		3,000,000.00	3,047,400.00
46625HJD3 - JPMORGAN CHASE & CO SR UNSECURED	09/13/17	---	01/24/22	4.50%	4.37%	1594	52	8	A-	3,265,620.00		3,000,000.00	3,083,070.00
94974BFC9 - WELLS FARGO BANK SENIOR NOTES	01/29/19	---	03/08/22	3.50%	3.41%	1134	37	9	BBB+	5,044,300.00		5,000,000.00	5,126,800.00
95001DA26 - WELLS FARGO & CO MTN CALLABLE	01/08/21	06/02/21	06/02/22	1.25%	1.25%	510	17	12	BBB+	4,012,000.00		4,000,000.00	4,000,000.00
38150AEJO - GOLDMAN SACHS GRP INC MTN CALLABLE	11/20/20	05/24/21	11/25/22	0.40%	0.40%	735	24	18	BBB+	7,497,500.00		7,500,000.00	7,396,950.00
94974BFJ4 - WELLS FARGO & CO SR SUBORDINATED	11/04/19	---	02/13/23	3.45%	3.27%	1197	39	20	BBB	4,988,160.00		4,800,000.00	5,051,568.00
38150AEF8 - GOLDMAN SACH GRP MTN CALLABLE	11/20/20	11/24/21	11/24/23	0.60%	0.61%	1099	36	30	BBB+	3,000,000.00		3,000,000.00	2,946,840.00
17329F6E9 - CITIGROUP GLOBAL MARKETS MTN CALLABLE	03/30/21	03/31/22	03/31/24	0.70%	0.70%	1097	36	34	---	8,250,000.00		8,250,000.00	8,228,385.00
02665WDS7 - AMERICAN HONDA FINANCE MTN	02/25/21	---	07/12/24	0.55%	0.55%	1233	41	37	A-	3,827,410.50		3,825,000.00	3,824,655.75
38150AFC4 - GOLDMAN SACHS GRP INC MTN CALLABLE	03/26/21	03/20/22	09/30/24	1.00%	1.00%	1284	42	40	BBB+	4,500,000.00		4,500,000.00	4,489,200.00
38150AFM2 - GOLDMAN SACHS GRP INC MTN CALLABLE	05/10/21	05/14/22	11/12/24	1.00%	1.00%	1282	42	41	BBB+	10,000,000.00		10,000,000.00	9,970,100.00
037833AZ3 - APPLE INC SR UNSECURED	02/04/21	---	02/09/25	2.50%	2.34%	1466	48	44	AA+	2,157,500.00		2,000,000.00	2,132,700.00
06048WK66 - BANK OF AMERICA CORP MULTISTEP UP MTN CALLABLE	02/04/21	12/23/21	12/23/25	0.65%	0.65%	1783	59	55	A-	1,994,000.00		2,000,000.00	1,980,400.00
3130ALZA5 - FEDERAL HOME LOAN BANK MULTI STEP COUPON BONDS CALLABLE	04/13/21	07/29/21	04/29/26	0.50%	0.50%	1842	61	59	AA+	6,000,000.00		6,000,000.00	5,996,220.00
3130AMB76 - FEDERAL HOME LOAN BANK BONDS CALLABLE	05/18/21	05/18/22	05/18/26	1.25%	1.24%	1826	60	60	AA+	1,108,800.00		1,100,000.00	1,107,700.00
3130AMKN1 - FEDERAL HOME LOAN MULTISTEP UP COUPON BONDS CALLABLE	05/18/21	08/27/21	05/27/26	0.50%	0.50%	1835	60	60	AA+	8,900,000.00		8,900,000.00	8,895,817.00
53944VAS8 - LLOYDS BANK PLC SR NOTE	12/09/19	---	08/14/22	2.25%	2.19%	979	32	14	A+	2,009,300.00		2,000,000.00	2,047,760.00
06747Q3B1 - BARCLAYS BANK PLC MTN CALLABLE	06/29/20	07/02/21	01/02/24	1.35%	1.36%	1282	42	31	A	1,000,000.00		1,000,000.00	989,820.00
13605WQ79 - CANADIAN IMPERIAL BK COMM MTN CALLABLE	12/28/20	12/22/21	06/22/24	0.55%	0.55%	1272	42	37	BBB+	3,000,000.00		3,000,000.00	2,985,090.00
89114TDD1 - TORONTO DOMINION BANK MTN CALLABLE	03/09/21	06/11/21	09/11/24	0.70%	0.70%	1282	42	39	A	7,000,000.00		7,000,000.00	7,000,000.00
89114TF49 - TORONTO DOMINION BANK MTN CALLABLE	03/20/21	07/01/21	04/01/25	1.00%	1.00%	1473	48	46	A	5,000,000.00		5,000,000.00	5,000,000.00
06747PKV0 - BARCLAYS BANK PLC - MTN CALLABLE	06/08/20	04/08/23	04/08/25	3.25%	3.14%	1765	58	46	A	5,136,480.00		4,920,000.00	5,083,639.20
WFA ---TREASURY MONEY MARKET	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	6,856,100.87		6,856,100.87	6,856,100.87
WFA --- CASH	05/01/21	---	05/31/21	---	---	30	1	---	---	24.04		24.04	24.04
SUBTOTAL										111,606,597.20		110,401,124.91	110,989,989.86
TOTAL - GENERAL POOLED FUNDS / INVESTMENTS										200,866,280.22	G	199,660,807.93	200,249,672.88



# City of Rialto

SCHEDULE OF INVESTMENTS  
RSA STAGE COACH SWEEP ACCOUNT - REF: H  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
RSA ACCOUNT **	05/01/21	---	05/01/21	---	---	0	0	---	---	8,263,518.50		8,263,518.50	8,263,518.50
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	22,602.50		22,602.50	22,602.50
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	(2,676.64)		(2,676.64)	(2,676.64)
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - RSA ACCOUNT **	05/01/21	---	05/31/21	---	---	30	1	---	---	3,626,111.00		0.00	3,626,111.00
INTEREST EARNED - WELLS FARGO	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	70.34		70.34	70.34
TOTAL - RSA INVESTMENTS										11,909,625.70	H	8,283,514.70	11,909,625.70

SCHEDULE OF INVESTMENTS  
RUA PRE-EXISTING / INVESTMENTS - REF: I  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT													
POOLED FUNDS - RUA ACCOUNT **	05/01/21	---	05/01/21	---	---	0	0	---	---	4,580,455.49		4,580,455.49	4,580,455.49
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	(138,741.45)		(138,741.45)	(138,741.45)
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - RUA ACCOUNT **	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED - WELLS FARGO	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	38.37		38.37	38.37
SUBTOTAL										4,441,752.41		4,441,752.41	4,441,752.41
LAIF - CALIFORNIA STATE POOL													
POOLED FUNDS - LAIF	05/01/21	---	05/31/21	---	0.35%	30	1	---	---	100,541.02		100,541.02	100,541.02
INTEREST EARNED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRANSFER FROM GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SUBTOTAL										100,541.02		100,541.02	100,541.02
WELLS FARGO ADVISORS - RUA													
14912L7D7 - CATERPILLAR FINANCIAL SERVICES SR UNSECURED	04/02/20	---	10/01/21	1.93%	1.91%	547	18	4	A	248,477.50		250,000.00	251,502.50
61746BED4 - MORGAN STANLEY SR UNSECURED	08/11/20	---	11/17/21	2.63%	2.59%	463	15	6	BBB+	3,962,073.50		3,850,000.00	3,892,773.50
95001DA26 - WELLS FARGO & CO MTN CALLABLE	01/08/21	06/02/21	06/02/22	1.25%	1.25%	510	17	12	BBB+	1,003,000.00		1,000,000.00	1,000,000.00
02665WDS7 - AMERICAN HONDA FINANCE MTN	02/04/21	---	07/12/24	0.55%	0.55%	1254	41	37	A-	3,477,991.50		3,475,000.00	3,474,687.25
83369MM74 - SOCIETE GENERALE BANK MTN	10/14/20	07/09/21	10/19/22	0.40%	0.40%	735	24	17	---	2,000,000.00		2,000,000.00	1,992,900.00
13605WQ79 - CANADIAN IMPERIAL BANK COMM MTN CALLABLE	12/18/20	12/22/21	06/22/24	0.55%	0.55%	1282	42	37	BBB+	270,000.00		270,000.00	268,658.10
89114TDD1 - TORONTO DOMINION BANK MTN CALLABLE	03/09/21	06/11/21	09/11/24	0.70%	0.70%	1282	42	39	A	3,000,000.00		3,000,000.00	3,000,000.00
89114TF49 - TORONTO DOMINION BANK MTN CALLABLE	03/30/21	07/01/21	04/01/25	1.00%	1.00%	1463	48	46	A	3,000,000.00		3,000,000.00	3,000,000.00
WFA --- TREASURY MONEY MARKET	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	1,000,783.16		1,000,783.16	1,000,783.16
WFA --- CASH	05/01/21	---	05/31/21	---	---	30	1	---	---	86,395.96		86,395.96	86,395.96
SUBTOTAL										18,048,721.62		17,932,179.12	17,967,700.47
TOTAL - RUA PRE-EXISTING INVESTMENTS										22,591,015.05	I	22,474,472.55	22,509,993.90



# City of Rialto

SCHEDULE OF INVESTMENTS  
OTHER BANK ACCOUNTS - CASH SWEEP ACCOUNTS - REF: J  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - SPORTS CENTER & POOL													
SPORTS CENTER & POOL ACCOUNT **	05/01/21	---	05/01/21	---	---	0	0	---	---	197,706.58		197,706.58	197,706.58
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	8,065.31		8,065.31	8,065.31
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	(190,509.01)		(190,509.01)	(190,509.01)
INTEREST EARNED - SPORTS CENTER & POOL ACCOUNT **	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	1.51		1.51	1.51
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - SPORTS CENTER & POOL **	05/01/21	---	05/31/21	---	---	30	1	---	---	70.00		70.00	70.00
SUBTOTAL										15,334.39		15,334.39	15,334.39
WELLS FARGO CASH SWEEP ACCOUNT - AMBULANCE LOCKBOX													
AMBULANCE LOCKBOX ACCOUNT **	05/01/21	---	05/01/21	---	---	0	0	---	---	0.00		0.00	0.00
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED - AMBULANCE LOCKBOX ACCOUNT **	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	0.00		0.00	0.00
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - AMBULANCE LOCKBOX **	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SUBTOTAL										0.00		0.00	0.00
WELLS FARGO CASH SWEEP ACCOUNT - FLEXIBLE BENEFIT													
FLEXIBLE BENEFIT ACCOUNT**	05/01/21	---	05/01/21	---	---	0	0	---	---	34,514.26		34,514.26	34,514.26
SHARES PURCHASED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SHARES REDEEMED	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED - FLEXIBLE BENEFIT ACCOUNT**	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	0.29		0.29	0.29
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - FLEXIBLE BENEFIT**	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
SUBTOTAL										34,514.55		34,514.55	34,514.55
TOTAL - OTHER BANK ACCOUNTS INVESTMENTS										49,848.94	J	49,848.94	49,848.94

SCHEDULE OF INVESTMENTS  
CEMETERY ENDOWMENT FUND - REF: K  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CEMETERY ENDOWMENT FUND													
38150AFG5 - GOLDMAN SACHS GRP INC MTN CALLABLE	04/28/21	04/30/22	04/30/26	1.35%	1.35%	1828	60	59	BBB+	320,000.00		320,000.00	319,337.60
WFA --- TREASURY MONEY MARKET	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	100.84		100.84	100.84
WFA --- CASH	05/01/21	---	05/31/21	---	---	30	1	---	---	78.03		78.03	78.03
TOTAL - CEMETERY ENDOWMENT FUND										320,178.87	K	320,178.87	319,516.47



# City of Rialto

SCHEDULE OF INVESTMENTS  
PEPPER AVENUE EXTENSION (RESTRICTED FUNDS) - REF: L  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
PEPPER AVENUE EXTENSION - RESTRICTED FUNDS													
LINE OF CREDIT - WELLS FARGO	06/01/20	---	12/31/21	---	---	578	19	7	---	160,190.40		160,190.40	160,190.40
COLLATERAL CERTIFICATE OF DEPOSIT - WELLS FARGO	07/12/20	---	07/12/21	---	0.01%	365	12	1	---	163,908.71		163,908.71	163,908.71
TOTAL - PEPPER AVENUE EXTENSION RESTRICTED ACCOUNTS										324,099.11	L	324,099.11	324,099.11

SCHEDULE OF INVESTMENTS  
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS) - REF: M  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - ECONOMIC RESERVE													
GENERAL FUND ECONOMIC RESERVE	05/01/21	---	05/01/21	---	---	0	0	0	---	20,775,341.56		20,775,341.56	20,775,341.56
TRANSFER TO WELLS FARGO ADVISORS ECONOMIC RESERVE	05/01/21	---	05/31/21	---	---	30	1	0	---	(20,775,341.56)		(20,775,341.56)	(20,775,341.56)
INTEREST EARNED - GENERAL FUND ECONOMIC RESERVE	05/01/21	---	05/31/21	---	0.01%	30	1	0	---	0.00		0.00	0.00
SUBTOTAL										0.00		0.00	0.00
WELLS FARGO ADVISORS - ECONOMIC RESERVE													
87019RSJ6 - SWEDBANK CP	02/09/21	---	05/18/21	---	---	98	3	---	---	(4,997,958.35)		(5,000,000.00)	---
02665JVB3 - AMERICAN HONDA FINANCE CP	05/17/21	---	08/11/21	---	---	86	3	2	A2	18,867,352.95		18,875,000.00	18,868,016.25
07274LVK0 - BAYERISCHE LANDESBK GIRO CP	05/18/21	---	08/19/21	---	---	93	3	3	P1	6,897,883.98		6,900,000.00	6,898,275.00
WFA --- TREASURY MONEY MARKET	05/01/21	---	05/31/21	---	0.01%	30	1	---	---	10,056.70		10,056.70	10,056.70
WFA --- CASH	05/01/21	---	05/31/21	---	---	30	1	---	---	1.84		1.84	1.84
SUBTOTAL										25,775,295.47		25,785,058.54	25,776,349.79
TOTAL - GENERAL FUND ECONOMIC RESERVE										25,775,295.47	M	25,785,058.54	25,776,349.79

SCHEDULE OF INVESTMENTS  
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS) - REF: N  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
HIGHMARK CAPITAL MANAGEMENT													
PARS OPEB TRUST PROGRAM	05/01/21	---	05/01/21	---	---	0	0	---	---	17,136,049.40		17,136,049.40	21,144,358.54
TRANSFER TO GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
TRUST FEES	05/01/21	---	05/31/21	---	---	30	1	---	---	(7,776.98)		(7,776.98)	(7,776.98)
TRANSFERS FROM GENERAL BANK ACCOUNT	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	0.00
INTEREST EARNED	05/01/21	---	05/31/21	---	---	30	1	---	---	167,047.26		167,047.26	167,047.26
UNREALIZED GAIN/LOSS	05/01/21	---	05/31/21	---	---	30	1	---	---	0.00		0.00	15,620.14
TOTAL - PUBLIC AGENCY RETIREMENT (RESTRICTED FUNDS)										17,295,319.68	N	17,295,319.68	21,319,248.96



# City of Rialto

SCHEDULE OF INVESTMENTS  
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES) - REF: O  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENT													
1 - 2007 COPS	05/01/21	---	05/31/21	---	---	30	1	---	---	3.81		3.81	3.81
2 - 2008 SERIES B	05/01/21	---	05/31/21	---	---	30	1	---	---	2,960,229.44		2,960,229.44	2,960,229.44
3 - 2008 SERIES C	05/01/21	---	05/31/21	---	---	30	1	---	---	1,858,519.27		1,858,519.27	1,858,519.27
4 - 2014 SERIES A	05/01/21	---	05/31/21	---	---	30	1	---	---	2.85		2.85	2.85
5 - 2015 SERIES A & B	05/01/21	---	05/31/21	---	---	30	1	---	---	6.40		6.40	6.40
6 - 2015 SERIES C	05/01/21	---	05/31/21	---	---	30	1	---	---	2.50		2.50	2.50
7 - 2018 REFUNDING 2008 SERIES A	05/01/21	---	05/31/21	---	---	30	1	---	---	3.30		3.30	3.30
8 - 2016 ELM PARK	05/01/21	---	05/31/21	---	---	30	1	---	---	453,507.93		453,507.93	453,507.93
TOTAL - CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)										5,272,275.50	O	5,272,275.50	5,272,275.50

SCHEDULE OF INVESTMENTS  
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT) - REF: P  
MAY 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	CREDIT RATING	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENTS - RUA (CONCESSION AGREEMENT)													
1 - RIALTO TRUST AND RUA LOCKBOX ACCOUNTS	05/01/21	---	05/31/21	---	---	30	1	---	---	4,060,885.08		4,060,885.08	4,060,885.08
2 - WASTEWATER ACCOUNTS	05/01/21	---	05/31/21	---	---	30	1	---	---	10,855,605.42		10,855,605.42	10,855,605.42
3 - WATER ACCOUNTS	05/01/21	---	05/31/21	---	---	30	1	---	---	7,891,443.20		7,891,443.20	7,891,443.20
TOTAL - CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)										22,807,933.70	P	22,807,933.70	22,807,933.70

NOTE. P2. CASH W/FISCAL AGENTS - RUA, WASTEWATER RESERVE ACCOUNT AS OF 05/31/2021, INCLUDES CASH AND INVESTMENTS, \$6,398,542.47 AND \$0 RESPECTIVELY.

NOTE. P3. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 05/31/2021, INCLUDES CASH AND INVESTMENTS OF \$3,614,370.41 AND \$0 RESPECTIVELY.

## RECAP - INVESTMENTS & CASH W/FISCAL AGENTS

	REF
GENERAL POOLED FUNDS / INVESTMENTS	G
200,866,280.22	
RSA / STAGE COACH SWEEP ACCOUNT	H
11,909,625.70	
RUA / INVESTMENTS & STAGE COACH SWEEP ACCOUNT	I
22,591,015.05	
OTHER BANK ACCOUNTS / STAGE COACH ACCOUNTS	J
49,848.94	
CEMETERY ENDOWMENT FUND / INVESTMENTS	K
320,178.87	
PEPPER AVENUE EXTENSION - LINE OF CREDIT & CD (RESTRICTED FUNDS)	L
324,099.11	
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)	M
25,775,295.47	
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS)	N
17,295,319.68	
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)	O
5,272,275.50	
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)	P
22,807,933.70	
TOTAL - RECAP INVESTMENTS & CASH W/FISCAL AGENTS	(G-P)
307,211,872.24	





# City of Rialto

## INVESTMENT INTEREST & GAINS/(LOSS) SCHEDULE

MAY 2021

INTEREST & GAIN/(LOSS) SUMMARY		07/31/20 - 04/30/21	5/31/2021	TOTAL TO DATE	
GENERAL POOLED FUNDS / INVESTMENTS		2,157,191.40	49,101.84	2,206,293.24	
RSA BANK SWEEP ACCOUNT INTEREST		1,472.56	70.34	1,542.90	
RUA PRE-EXISTING / INVESTMENTS		242,712.08	51,574.24	294,286.32	
OTHER BANK ACCOUNTS		30.50	1.80	32.30	
ESCROW ACCOUNTS		62,814.40	2,457.24	65,271.64	
CEMETERY ENDOWMENT (RESTRICTED ACCOUNTS)		10,087.72	1.51	10,089.23	
PEPPER AVENUE EXTENSION (RESTRICTED ACCOUNTS)		678.97	-	678.97	
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)		3,040.70	2,046.47	5,087.17	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		1,441,770.37	167,047.26	1,608,817.63	
CASH W/FISCAL AGENTS - (RESTRICTED BOND ISSUES)		2,051.43	97.88	2,149.31	
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)		37,489.76	-	37,489.76	
TOTAL		3,959,339.89	272,398.58	4,231,738.47	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS) MONTHLY DETAIL		UNREALIZED GAIN/(LOSS)	INTEREST	EXPENSES	TOTAL TO DATE
07/31/20		658,716.16	15,242.20	6,931.21	667,027.15
08/31/20		475,359.05	203,557.60	7,076.81	671,839.84
09/30/20		(423,751.65)	44,570.18	7,146.85	(386,328.32)
10/31/20		(202,301.35)	15,944.78	7,120.31	(193,476.88)
11/30/20		1,563,682.72	15,048.11	7,073.10	1,571,657.73
12/31/20		48,894.23	635,598.35	7,467.00	677,025.58
01/31/21		(387,047.97)	395,883.04	7,634.56	1,200.51
02/28/21		354,362.54	62,190.48	7,476.39	409,076.63
03/31/21		203,924.89	37,804.75	7,570.46	234,159.18
04/30/21		647,208.81	15,930.88	7,628.56	655,511.13
05/31/21		15,620.14	167,047.26	7,776.98	174,890.42
06/30/20		0.00	0.00	0.00	0.00
TOTAL- PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		2,954,667.57	1,608,817.63	80,902.23	4,482,582.97



# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Accrued Interest** - The interest that has accumulated on a bond since the last interest payment up to, but not including, the settlement date. Accrued interest occurs as a result of the difference in timing of cash flows and the measurement of these cash flows.
- ❖ **Call Date** - The call date is the date on which a bond can be redeemed before maturity. If the issuer feels there is a benefit to refinancing the issue, the bond may be redeemed on the call date at par or at a small premium to par.
- ❖ **Coupon Rate** - A coupon rate is the yield paid by a fixed-income security; a fixed-income security's coupon rate is simply just the annual coupon payments paid by the issuer relative to the bond's face or par value.
- ❖ **Credit Rating** - The credit rating is a financial indicator to potential investors of debt securities such as bonds. These are assigned by credit rating agencies such as Moody's, Standard & Poor's, and Fitch, which publish code designations (such as AAA, B, CC) to express their assessment of the risk quality of a bond.
- ❖ **Original Cost/Amount Invested** - The original cost of an asset takes into consideration all of the costs that can be attributed to its purchase and to putting the asset to use.
- ❖ **Market Value** - is the price an asset would fetch in the marketplace, or the value that the investment community gives to a particular equity or business.
- ❖ **Par Value** - The face value of a bond. Par value is important for a bond or fixed-income instrument because it determines its maturity value as well as the dollar value of coupon payments.
- ❖ **Realized Gain/(Loss)** - Realized gain/loss is the cumulative amount of realized gains and losses resulting from the sale of securities. A realized loss is the monetary value of a loss that results from a trade
- ❖ **StageCoach Sweep Account** – A sweep account automatically transfers cash funds into a safe but higher interest-earning investment option at the close of each business day, e.g. into a money market fund. Sweep accounts try to minimize idle cash drag by capitalizing on the immediate availability of higher-interest accounts.



# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Unrealized Gains/(Loss)** - a profitable/(losing) position that has yet to be cashed in. The actual gain/(loss) is not realized until the position is closed. A position with an unrealized gain may eventually turn into a position with an unrealized loss, as the market fluctuates and vice versa.
- ❖ **Yield** - The income return on an investment. This refers to the interest or dividends received from a security and is expressed as a percentage based on the investment's cost and its current market value.