



# City of Rialto

TREASURER'S OFFICE

California

October 18, 2021

TO: The Honorable Mayor and City Council,  
City Administrator, Deputy City Manager, Interim Finance Director

FROM: Edward J. Carrillo - City Treasurer

## TREASURER'S MONTHLY REPORT - SEPTEMBER 2021

			REF
General Pooled Funds Investments + Cash Sweep Bank Account.....	209,036,195.36	64%	A,G
Rialto Successor Agency (RSA) + Cash Sweep Bank Account.....	7,028,023.47	2%	B,H
Rialto Utility Authority (RUA) Pre-existing Investments + Cash Sweep Bank Account.....	21,545,064.37	7%	C,I
Other Bank Accounts + Cash Sweep Bank Accounts.....	3,852,177.79	1%	D,J
Escrow Accounts.....	14,255,468.93	4%	E
Cemetery Endowment Fund (Restricted Accounts).....	392,303.51	0%	F,K
Pepper Avenue Extension (Restricted Accounts).....	324,104.71	0%	L
General Fund Economic Reserve (Restricted Funds).....	25,785,102.72	8%	M
Public Agency Retirement Services - OPEB (Restricted Funds).....	17,228,258.67	5%	N
Cash with Fiscal Agents - (Restricted Bond Issues).....	5,271,945.64	2%	O
Cash with Fiscal Agents - RUA (Concession Agreement).....	24,133,569.67	7%	P

### TREASURER'S PORTFOLIO

328,852,214.84	100%
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The City's Treasurer expects to have sufficient cash for the next six months, including use of General Fund's cash reserves.

The City of Rialto's Investments and funds management is in compliance with the City's Investment Policy and State Mandates. Pooled Investments have liquidity below 30 days, and therefore carry Market Value at Issued Value. Wells Fargo Advisors, Clearwater Analytics and Union Bank of California provided the Market Value of the investments held in custody. Union Bank of California (Corporate Trust Dept) and US Bank (Corporate Trust Dept.) provided Fiscal Agent information.



# City of Rialto

## TREASURER'S BANK RECONCILIATION BALANCE SHEET

ACTIVE ACCOUNTS	AUGUST 2021 BALANCE	RECEIPTS	DISBURSEMENTS	SEPTEMBER 2021 BALANCE	REF
GENERAL BANK ACCOUNT **	<u>(458,929.03)</u>	17,411,378.68	17,069,809.29	<u>(117,359.64)</u>	A
RSA BANK ACCOUNT **	<u>-</u>	26,220.52	54,418.52	<u>(28,198.00)</u>	B
RUA BANK ACCOUNT **	<u>(9,993.76)</u>	390,305.93	393,223.20	<u>(12,911.03)</u>	C
SPORTS CENTER/POOL **	-	16,369.56	16,369.56	-	
AMBULANCE LOCKBOX	56,285.05	245,705.35	273,504.71	28,485.69	
FLEXIBLE BENEFIT**	-	0.29	0.29	-	
ALARM LOCKBOX	9,823.56	20,010.99	9,861.21	19,973.34	
BANK CARD	331.94	1,668.06	182.49	1,817.51	
PAYROLL CLEARING	31,368.53	6,968,471.37	4,882,327.47	2,117,512.43	
LIABILITY ACCT./CARL WARREN & CO.	42,037.55	57,962.23	69,710.11	30,289.67	
PEPPER AVENUE	-	-	-	-	
WORKERS COMP/LWP CLAIMS SOLUTIONS	22,613.28	242,964.55	203,457.17	62,120.66	
PROPERTY EVIDENCE MONIES	1,473,320.69	9,609.34	2,649.00	1,480,281.03	
<b>OTHER BANK ACCOUNTS</b>	<b>1,635,780.60</b>	<b>7,562,761.74</b>	<b>5,458,062.01</b>	<b>3,740,480.33</b>	<b>D</b>
ENERGY CONSERVATION PROJECT	84,786.55	-	-	84,786.55	
AYALA @ 210 PARTNERS LLC	310,630.47	15.07	-	310,645.54	
LEWIS HILLWOOD	2,756,697.06	336.19	-	2,757,033.25	
ALDER AVENUE	3,281,401.01	400.18	-	3,281,801.19	
LAUREL/WALNUT/LOCUST	2,991,406.42	364.81	-	2,991,771.23	
SUB ACCOUNT A	4,828,842.28	588.89	-	4,829,431.17	
<b>ESCROW ACCOUNTS</b>	<b>14,253,763.79</b>	<b>1,705.14</b>	<b>-</b>	<b>14,255,468.93</b>	<b>E</b>
CEMETERY ENDOWMENT FUND	72,122.86	1.78	-	72,124.64	
<b>RESTRICTED ACCOUNTS</b>	<b>72,122.86</b>	<b>1.78</b>	<b>-</b>	<b>72,124.64</b>	<b>F</b>
<b>TOTAL - ACTIVE ACCOUNTS</b>	<b>15,492,744.46</b>			<b>17,909,605.23</b>	<b>(A-F)</b>

Note (\*\*) All cash is swept in Government Money Market Fund Sweep Accounts with Wells Fargo.  
Accounts with negative balances are due to current month's outstanding checks.



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL POOLED FUNDS / INVESTMENTS - REF: G  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT														
POOLED FUNDS - GENERAL	09/01/21	---	09/01/21	---	---	0	1	---	---	---	38,944,748.79		38,944,748.79	38,944,748.79
SHARES PURCHASED	09/01/21	---	09/30/21	---	---	30	1	---	---	---	26,390,535.97		26,390,535.97	26,390,535.97
SHARES REDEEMED	09/01/21	---	09/30/21	---	---	30	1	---	---	---	(24,940,405.06)		(24,940,405.06)	(24,940,405.06)
INTEREST EARNED - GENERAL FUND ACCOUNT	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	305.42		305.42	305.42
CASH SWEEP BALANCE / (FUNDS PENDING REDEMPTION) - GENERAL	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(1,818,444.80)		(1,818,444.80)	(1,818,444.80)
SUBTOTAL											38,576,740.32		38,576,740.32	38,576,740.32
PACIFIC PREMIER BANK #0314														
POOLED FUNDS - #0314	09/01/21	---	09/01/21	---	0.10%	0	0	---	---	---	5,195,741.49		5,195,741.49	5,195,741.49
BANKING FEE	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(2.00)		(2.00)	(2.00)
INTEREST EARNED #0314	09/01/21	---	09/30/21	---	---	29	1	---	---	---	337.38		337.38	337.38
SUBTOTAL											5,196,076.87		5,196,076.87	5,196,076.87
PACIFIC PREMIER BANK #7667														
POOLED FUNDS - #7667	09/01/21	---	09/01/21	---	0.10%	0	0	---	---	---	3,530,301.89		3,530,301.89	3,530,301.89
BANKING FEE	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(27.00)		(27.00)	(27.00)
INTEREST EARNED #7667	09/01/21	---	09/30/21	---	---	29	1	---	---	---	229.23		229.23	229.23
SUBTOTAL											3,530,504.12		3,530,504.12	3,530,504.12
LAIF - CALIFORNIA STATE POOL														
POOLED FUNDS - LAIF	09/01/21	---	09/30/21	---	0.22%	29	1	---	---	---	20,203,102.08		20,203,102.08	20,203,102.08
SUBTOTAL											20,203,102.08		20,203,102.08	20,203,102.08

NOTE: G (CONTINUED TO NEXT PAGE)



# City of Rialto

## SCHEDULE OF INVESTMENTS GENERAL POOLED FUNDS / INVESTMENTS - REF: G (CONTINUED) SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CUSTODIAL														
36962GSJ9 - GE CAPITAL CORP INTERNOTES MTN	09/13/17	---	10/17/21	4.65%	4.64%	1495	49	1	BAA1	BBB+	3,315,540.00		3,000,000.00	3,004,560.00
8025PZ13 - SANTANDER UK PLC CP	07/14/21	---	12/01/21	---	0.13%	140	5	2	P1	A1	3,898,117.71		3,900,000.00	3,899,298.00
46625HJD3 - JPMORGAN CHASE & CO SR UNSECURED	09/13/17	---	01/24/22	4.50%	4.44%	1594	52	4	A2	A-	3,265,620.00		3,000,000.00	3,039,600.00
2254EBU4 - CREDIT SUISSE NEW YORK CP	06/03/21	---	02/28/22	---	0.16%	270	9	5	P1	A1	4,544,540.00		4,550,000.00	4,546,906.00
94974BFC9 - WELLS FARGO BANK SENIOR NOTES	01/28/19	---	03/08/22	3.50%	3.45%	1134	37	5	A1	BBB+	5,044,300.00		5,000,000.00	5,070,400.00
38150AEJ0 - GOLDMAN SACHS GRP INC MTN CALLABLE	11/20/20	11/24/21	11/25/22	0.40%	0.40%	735	24	14	A2	BBB+	7,497,500.00		7,500,000.00	7,488,450.00
94973VBA4 - WELLPOINT INC SR UNSECURED	07/13/21	---	01/15/23	3.30%	3.18%	551	18	16	BAA2	A	1,566,150.00		1,500,000.00	1,554,135.00
94974BFN5 - WELLS FARGO & CO SUBORDINATED	06/03/21	---	08/15/23	4.13%	3.87%	803	26	22	A3	BBB	5,519,832.00		5,100,000.00	5,431,398.00
38150AEF8 - GOLDMAN SACH GRP MTN CALLABLE	11/20/20	11/24/21	11/24/23	0.80%	0.61%	1099	36	26	A2	BBB+	3,000,000.00		3,000,000.00	2,947,110.00
17329F6E9 - CITIGROUP GLOBAL MARKETS MTN CALLABLE	03/30/21	03/31/22	03/31/24	0.70%	0.70%	1097	36	30	A3	BBB+	8,250,000.00		8,250,000.00	8,194,230.00
02665WDS7 - AMERICAN HONDA FINANCE MTN	02/25/21	---	07/12/24	0.55%	0.55%	1233	41	33	A3	A-	3,827,410.50		3,825,000.00	3,803,121.00
45950VQE9 - INTL FINANCE CORP MTN	09/08/21	---	09/10/24	0.43%	0.43%	1088	36	35	AAA	AAA	5,000,000.00		5,000,000.00	4,980,600.00
38150AFC4 - GOLDMAN SACHS GRP INC MTN CALLABLE	03/26/21	03/20/22	09/30/24	1.00%	1.00%	1284	42	36	A2	BBB+	4,500,000.00		4,500,000.00	4,484,810.00
61761JV10 - MORGAN STANLEY MTN SR UNSECURED	07/15/21	---	10/23/24	3.70%	3.41%	1196	39	37	A1	BBB+	5,048,828.40		4,620,000.00	5,011,360.20
38150AFM2 - GOLDMAN SACHS GRP INC MTN CALLABLE	05/10/21	05/14/22	11/12/24	1.00%	1.00%	1282	42	37	A2	BBB+	10,000,000.00		10,000,000.00	9,914,200.00
037833AZ3 - APPLE INC SR UNSECURED	02/04/21	---	02/09/25	2.50%	2.37%	1466	48	40	AA1	AA+	2,157,500.00		2,000,000.00	2,102,840.00
06048WK66 - BANK OF AMERICA CORP MULTISTEP UP MTN CALLABLE	02/04/21	12/23/21	12/23/25	0.65%	0.66%	1783	59	51	A2	A-	1,994,000.00		2,000,000.00	1,962,180.00
3130ANZ60 - FEDERAL HOME LOAN BANK MULTISTEP COUPON BONDS CALLABLE	09/08/21	12/27/21	06/27/25	0.40%	0.40%	1388	46	45	AAA	AA+	1,500,000.00		1,500,000.00	1,497,420.00
3130ALZAS - FEDERAL HOME LOAN BANK MULTI STEP COUPON BONDS CALLABLE	04/13/21	10/29/21	04/29/26	0.50%	0.50%	1842	61	55	AAA	AA+	6,000,000.00		6,000,000.00	5,996,100.00
3130AMB76 - FEDERAL HOME LOAN BANK BONDS CALLABLE	05/18/21	05/18/22	05/18/26	1.25%	1.24%	1826	60	56	AAA	AA+	1,108,800.00		1,100,000.00	1,104,466.00
3130AMKN1 - FEDERAL HOME LOAN BANK MULTISTEP UP COUPON BONDS CALLABLE	05/18/21	11/27/21	05/27/26	0.50%	0.50%	1835	60	56	AAA	AA+	8,900,000.00		8,900,000.00	8,882,289.00
3130ANUTS - FEDERAL HOME LOAN BANK MULTI STEP COUPON BONDS CALLABLE	08/30/21	03/16/22	09/16/26	0.50%	0.50%	1843	61	60	AAA	AA+	10,000,000.00		10,000,000.00	9,979,900.00
3130ANZ52 - FEDERAL HOME LOAN BANK MULTISTEP COUPON BONDS CALLABLE	09/08/21	12/28/21	09/28/26	0.50%	0.50%	1846	61	60	AAA	AA+	2,500,000.00		2,500,000.00	2,497,000.00
3130ANXC9 - FEDERAL HOME LOAN BANK MULTISTEP COUPON BONDS CALLABLE	09/02/21	12/29/21	09/29/26	0.60%	0.60%	1853	61	60	AAA	AA+	5,000,000.00		5,000,000.00	4,990,050.00
99000FCR3 - WORLD'S FOREMOST BANK CD	09/20/21	---	05/08/23	2.45%	2.38%	595	20	19	N.A.	N.A.	206,936.00		200,000.00	205,768.00
99000QMV7 - COMENITY BANK CD	09/23/21	---	08/15/23	2.15%	2.09%	691	23	22	N.A.	N.A.	206,372.00		200,000.00	205,416.00
05580AD92 - BMW BK N AMERICA CD	09/20/21	---	09/25/23	0.35%	0.35%	735	24	24	N.A.	N.A.	249,000.00		249,000.00	248,947.71
87165HD64 - SYNCHRONY BANK CD	09/20/21	---	09/25/23	0.40%	0.39%	735	24	24	N.A.	N.A.	249,000.00		249,000.00	249,194.22
38149MA52 - GOLDMAN SACHS BK USA CD	09/20/21	---	09/29/23	0.35%	0.35%	739	24	24	N.A.	N.A.	249,000.00		249,000.00	248,932.77
856285XP1 - STATE BANK OF INDIA CD	09/20/21	---	09/29/23	0.40%	0.39%	739	24	24	N.A.	N.A.	249,000.00		249,000.00	249,181.77
947547NE1 - WEBBANK CD	09/20/21	---	09/29/23	0.30%	0.30%	739	24	24	N.A.	N.A.	249,000.00		249,000.00	248,683.77
538036SD7 - LIVE OAK BANKING CO CD	09/21/21	---	10/02/23	0.25%	0.25%	741	24	24	N.A.	N.A.	249,000.00		249,000.00	249,000.00
45581EC58 - INDUSTRIAL & COM BK CD	09/21/21	---	10/18/23	0.35%	0.35%	757	25	25	N.A.	N.A.	249,000.00		249,000.00	249,000.00
06251A2J8 - BANK HAPOLIM BM NY CD	09/28/21	---	10/26/23	0.25%	0.25%	758	25	25	N.A.	N.A.	248,253.00		249,000.00	248,307.78
88241TFP6 - TEXAS EXCHANGE BK SSB CD	09/22/21	---	12/18/23	0.35%	0.35%	817	27	27	N.A.	N.A.	248,000.00		248,000.00	247,583.36
47804GGX5 - JOHN MARSHALL BANK CD	09/29/21	---	06/17/24	0.25%	0.25%	992	33	33	N.A.	N.A.	243,020.40		245,000.00	242,900.35
89235MLG4 - TOYOTA FINL SGS BK CD	09/23/21	---	08/12/24	0.60%	0.60%	1054	35	34	N.A.	N.A.	248,927.79		249,000.00	248,915.34
795451AW3 - SALLIE MAE BANK/SALT CD	09/20/21	---	09/23/24	0.70%	0.69%	1099	36	36	N.A.	N.A.	249,000.00		249,000.00	249,405.87
90348JT75 - UBS BANK USA CD	09/28/21	---	10/07/24	0.65%	0.65%	1105	36	36	N.A.	N.A.	249,000.00		249,000.00	249,000.00
53944VAS8 - LLOYDS BANK PLC SR NOTE	12/09/19	---	08/14/22	2.25%	2.21%	979	32	10	A1	A+	2,009,300.00		2,000,000.00	2,035,020.00
13605WQ79 - CANADIAN IMPERIAL BK COMM MTN CALLABLE	12/28/20	12/22/21	06/22/24	0.55%	0.55%	1272	42	33	A2	BBB+	3,000,000.00		3,000,000.00	2,949,240.00
459056HV2 - INTL BK RECON & DEVELOP SR UNSECURED	09/07/21	---	08/28/24	1.50%	1.46%	1086	36	35	AAA	AAA	1,031,750.00		1,000,000.00	1,027,150.00
89114TDD1 - TORONTO DOMINION BANK MTN CALLABLE	03/09/21	12/11/21	09/11/24	0.70%	0.70%	1282	42	35	A1	A	7,000,000.00		7,000,000.00	6,970,600.00
89114TF49 - TORONTO DOMINION BANK MTN CALLABLE	03/20/21	01/01/22	04/01/25	1.00%	1.00%	1473	48	42	A1	A	5,000,000.00		5,000,000.00	4,972,500.00
06747PKV0 - BARCLAYS BANK PLC - MTN CALLABLE	06/08/20	04/08/23	04/08/25	3.25%	3.17%	1765	58	42	A1	A	5,136,480.00		4,920,000.00	5,030,503.20
WFA --TREASURY MONEY MARKET	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	1,828,264.80		1,828,264.80	1,828,264.80
WFA --CASH	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(439,310.99)		(439,310.99)	(439,310.99)
SUBTOTAL											141,647,131.61		139,685,953.81	140,376,627.15
TOTAL - GENERAL POOLED FUNDS / INVESTMENTS											209,153,555.00	G	207,192,377.20	207,883,050.54



# City of Rialto

SCHEDULE OF INVESTMENTS  
RSA STAGE COACH SWEEP ACCOUNT - REF: H  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT														
RSA ACCOUNT **	09/01/21	---	09/01/21	---	---	0	0	---	---	---	7,031,428.88		7,031,428.88	7,031,428.88
SHARES PURCHASED	09/01/21	---	09/30/21	---	---	29	1	---	---	---	24,734.69		24,734.69	24,734.69
INTEREST EARNED - WELLS FARGO	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	57.90		57.90	57.90
TOTAL - RSA INVESTMENTS											7,056,221.47	H	7,056,221.47	7,056,221.47

SCHEDULE OF INVESTMENTS  
RUA PRE-EXISTING / INVESTMENTS - REF: I  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT														
POOLED FUNDS - RUA ACCOUNT **	09/01/21	---	09/01/21	---	---	0	0	---	---	---	3,199,478.69		3,199,478.69	3,199,478.69
SHARES PURCHASED	09/01/21	---	09/30/21	---	---	29	1	---	---	---	264,910.02		264,910.02	264,910.02
SHARES REDEEMED	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(89,099.81)		(89,099.81)	(89,099.81)
INTEREST EARNED - WELLS FARGO	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	25.93		25.93	25.93
SUBTOTAL											3,375,314.83		3,375,314.83	3,375,314.83
LAIF - CALIFORNIA STATE POOL														
POOLED FUNDS - LAIF	09/01/21	---	09/30/21	---	0.22%	29	1	---	---	---	100,623.11		100,623.11	100,623.11
SUBTOTAL											100,623.11		100,623.11	100,623.11
WELLS FARGO ADVISORS - RUA														
14912L707 - CATERPILLAR FINANCIAL SERVICES SR UNSECURED	04/02/20	---	10/01/21	1.93%	1.93%	547	18	0	A2	A	248,477.50		250,000.00	250,000.00
61746BED4 - MORGAN STANLEY SR UNSECURED	08/11/20	---	11/17/21	2.63%	2.61%	463	15	2	A1	BBB+	3,962,073.50		3,850,000.00	3,861,011.00
94974BFN5 - WELLS FARGO & CO SUBORDINATED	06/03/21	---	08/15/23	4.13%	3.87%	803	26	22	A3	BBB	974,088.00		900,000.00	958,482.00
02665WDS7 - AMERICAN HONDA FINANCE MTN	02/04/21	---	07/12/24	0.55%	0.55%	1254	41	33	A3	A-	3,477,991.50		3,475,000.00	3,455,123.00
3130AMRA2 - FEDERAL HOME LOAN BANK	06/02/21	12/24/21	06/24/26	0.63%	0.62%	1848	61	57	AAA	AA+	1,000,000.00		1,000,000.00	996,580.00
83369MM74 - SOCIETE GENERALE BANK MTN	10/14/20	10/19/21	10/19/22	0.40%	0.40%	735	24	13	A1	A	2,000,000.00		2,000,000.00	1,983,320.00
13605WQ79 - CANADIAN IMPERIAL BANK COMM MTN CALLABLE	12/18/20	12/22/21	06/22/24	0.55%	0.55%	1282	42	33	A2	BBB+	270,000.00		270,000.00	265,431.60
89114TDD1 - TORONTO DOMINION BANK MTN CALLABLE	03/09/21	12/11/21	09/11/24	0.70%	0.70%	1282	42	35	A1	A	3,000,000.00		3,000,000.00	2,987,400.00
89114TF49 - TORONTO DOMINION BANK MTN CALLABLE	03/30/21	01/01/22	04/01/25	1.00%	1.00%	1463	48	42	A1	A	3,000,000.00		3,000,000.00	2,983,500.00
WFA --- TREASURY MONEY MARKET	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	116,090.76		116,090.76	116,090.76
WFA --- CASH	09/01/21	---	09/30/21	---	---	29	1	---	---	---	33,316.20		33,316.20	33,316.20
SUBTOTAL											18,082,037.46		17,894,406.96	17,890,254.56
TOTAL - RUA PRE-EXISTING INVESTMENTS											21,557,973.40	I	21,370,344.90	21,366,192.50



# City of Rialto

SCHEDULE OF INVESTMENTS  
OTHER BANK ACCOUNTS - CASH SWEEP ACCOUNTS - REF: J  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO CASH SWEEP ACCOUNT - SPORTS CENTER & POOL														
SPORTS CENTER & POOL ACCOUNT **	09/01/21	---	09/01/21	---	---	0	0	---	---	---	59,731.01		59,731.01	59,731.01
SHARES PURCHASED	09/01/21	---	09/30/21	---	---	29	1	---	---	---	17,450.19		17,450.19	17,450.19
INTEREST EARNED - SPORTS CENTER & POOL ACCOUNT **	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	0.57		0.57	0.57
SUBTOTAL											77,181.77		77,181.77	77,181.77
WELLS FARGO CASH SWEEP ACCOUNT - AMBULANCE LOCKBOX														
FLEXIBLE BENEFIT ACCOUNT**	09/01/21	---	09/01/21	---	---	0	0	---	---	---	34,515.41		34,515.41	34,515.41
INTEREST EARNED - FLEXIBLE BENEFIT ACCOUNT**	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	0.28		0.28	0.28
SUBTOTAL											34,515.69		34,515.69	34,515.69
TOTAL - OTHER BANK ACCOUNTS INVESTMENTS											111,697.46	J	111,697.46	111,697.46

SCHEDULE OF INVESTMENTS  
CEMETERY ENDOWMENT FUND - REF: K  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - CEMETERY ENDOWMENT FUND														
38150AF05 - GOLDMAN SACHS GRP INC MTN CALLABLE	04/28/21	04/30/22	04/30/26	1.35%	1.37%	1828	60	55	A2	BBB+	320,000.00		320,000.00	314,841.60
WFA -- TREASURY MONEY MARKET	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	100.84		100.84	100.84
WFA -- CASH	09/01/21	---	09/30/21	---	---	29	1	---	---	---	78.03		78.03	78.03
TOTAL - CEMETERY ENDOWMENT FUND											320,178.87	K	320,178.87	315,020.47

SCHEDULE OF INVESTMENTS  
PEPPER AVENUE EXTENSION (RESTRICTED FUNDS) - REF: L  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
PEPPER AVENUE EXTENSION - RESTRICTED FUNDS														
LINE OF CREDIT - WELLS FARGO	06/01/20	---	12/31/21	---	---	578	19	3	---	---	160,190.40		160,190.40	160,190.40
COLLATERAL CERTIFICATE OF DEPOSIT - WELLS FARGO	07/12/21	---	07/12/22	---	0.01%	365	12	9	---	---	163,914.31		163,914.31	163,914.31
TOTAL - PEPPER AVENUE EXTENSION RESTRICTED ACCOUNTS											324,104.71	L	324,104.71	324,104.71



# City of Rialto

SCHEDULE OF INVESTMENTS  
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS) - REF: M  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
WELLS FARGO ADVISORS - ECONOMIC RESERVE														
87019RY26 - SWEDBANK CP	08/19/21	---	11/02/21	---	0.09%	75	2	1	P1	A1	3,899,278.50		3,900,000.00	3,899,766.00
02665JY38 - AMERICAN HONDA FINANCE CP	08/13/21	---	11/03/21	---	0.12%	82	3	1	P2	A2	13,871,246.20		13,875,000.00	13,873,057.50
87019RYF7 - SWEDBANK CP	08/13/21	---	11/15/21	---	0.09%	94	3	2	P1	A1	4,998,862.50		5,000,000.00	4,999,600.00
53943RYN3 - LLOYDS BANK PLD CP	08/19/21	---	11/22/21	---	0.08%	95	3	2	P1	A1	2,999,295.00		3,000,000.00	2,999,580.00
WFA -- TREASURY MONEY MARKET	09/01/21	---	09/30/21	---	0.01%	29	1	---	---	---	14,973.87		14,973.87	14,973.87
WFA -- CASH	09/01/21	---	09/30/21	---	---	29	1	---	---	---	1,446.65		1,446.65	1,446.65
SUBTOTAL											25,785,102.72		25,791,420.52	25,788,424.02
TOTAL - GENERAL FUND ECONOMIC RESERVE											25,785,102.72	M	25,791,420.52	25,788,424.02

SCHEDULE OF INVESTMENTS  
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS) - REF: N  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
HIGHMARK CAPITAL MANAGEMENT														
PARS OPEB TRUST PROGRAM	09/01/21	---	09/01/21	---	---	0	0	---	---	---	17,203,617.04		17,203,617.04	21,421,929.88
TRUST FEES	09/01/21	---	09/30/21	---	---	29	1	---	---	---	(7,828.42)		(7,828.42)	(7,828.42)
INTEREST EARNED	09/01/21	---	09/30/21	---	---	29	1	---	---	---	32,470.05		32,470.05	32,470.05
UNREALIZED GAIN/LOSS	09/01/21	---	09/30/21	---	---	29	1	---	---	---	0.00		0.00	(701,832.17)
TOTAL - PUBLIC AGENCY RETIREMENT (RESTRICTED FUNDS)											17,228,258.67	N	17,228,258.67	20,744,739.34

SCHEDULE OF INVESTMENTS  
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES) - REF: O  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENT														
1 - 2007 COPS	09/01/21	---	09/30/21	---	---	29	1	---	---	---	0.00		0.00	0.00
2 - 2008 SERIES B	09/01/21	---	09/30/21	---	---	29	1	---	---	---	2,960,028.21		2,960,028.21	2,960,028.21
3 - 2008 SERIES C	09/01/21	---	09/30/21	---	---	29	1	---	---	---	1,858,393.30		1,858,393.30	1,858,393.30
4 - 2014 SERIES A	09/01/21	---	09/30/21	---	---	29	1	---	---	---	4.90		4.90	4.90
5 - 2015 SERIES A & B	09/01/21	---	09/30/21	---	---	29	1	---	---	---	7.44		7.44	7.44
6 - 2015 SERIES C	09/01/21	---	09/30/21	---	---	29	1	---	---	---	3.10		3.10	3.10
7 - 2018 REFUNDING 2008 SERIES A	09/01/21	---	09/30/21	---	---	29	1	---	---	---	3.48		3.48	3.48
8 - 2016 ELM PARK	09/01/21	---	09/30/21	---	---	29	1	---	---	---	453,505.21		453,505.21	453,505.21
TOTAL - CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)											5,271,945.64	O	5,271,945.64	5,271,945.64



# City of Rialto

SCHEDULE OF INVESTMENTS  
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT) - REF: P  
SEPTEMBER 2021

SOURCE	PURCHASE DATE	CALL DATE	MATURITY DATE	COUPON RATE	YIELD	DAYS OF INV.	MONTHS OF INV.	REMAINING LIFE IN MONTHS	RATING MOODY'S	RATING S&P	AMOUNT INVESTED	REF	PAR VALUE	MARKET VALUE
CASH WITH FISCAL AGENTS - RUA (CONCESSION AGREEMENT)														
1 - RIALTO TRUST AND RUA LOCKBOX ACCOUNTS	09/01/21	---	09/30/21	---	---	29	1	---	---	---	8,096,798.34		8,096,798.34	8,096,798.34
2 - WASTEWATER ACCOUNTS	09/01/21	---	09/30/21	---	---	29	1	---	---	---	9,838,058.77		9,838,058.77	9,834,977.61
3 - WATER ACCOUNTS	09/01/21	---	09/30/21	---	---	29	1	---	---	---	6,198,712.56		6,198,712.56	6,198,017.54
TOTAL - CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)											24,133,569.67	P	24,133,569.67	24,129,793.49

NOTE. P2. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 09/30/2021, INCLUDES CASH AND INVESTMENTS OF \$254,635.17 AND \$6,144,000.00 RESPECTIVELY.

NOTE. P3. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 09/30/2021, INCLUDES CASH AND INVESTMENTS OF \$302,104.59 AND \$3,348,000.00 RESPECTIVELY.

## RECAP - INVESTMENTS & CASH W/FISCAL AGENTS

		REF
GENERAL POOLED FUNDS / INVESTMENTS	209,153,555.00	G
RSA / STAGE COACH SWEEP ACCOUNT	7,056,221.47	H
RUA / INVESTMENTS & STAGE COACH SWEEP ACCOUNT	21,557,975.40	I
OTHER BANK ACCOUNTS / STAGE COACH ACCOUNTS	111,697.46	J
CEMETERY ENDOWMENT FUND / INVESTMENTS	320,178.87	K
PEPPER AVENUE EXTENSION - LINE OF CREDIT & CD (RESTRICTED FUNDS)	324,104.71	L
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)	25,785,102.72	M
PUBLIC AGENCY RETIREMENT - OPEB (RESTRICTED FUNDS)	17,228,258.67	N
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)	5,271,945.64	O
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)	24,133,569.67	P
TOTAL - RECAP INVESTMENTS & CASH W/FISCAL AGENTS	310,942,609.61	(G-P)





# City of Rialto

## INVESTMENT INTEREST & GAINS/(LOSS) SCHEDULE

SEPTEMBER 2021

INTEREST & GAIN/(LOSS) SUMMARY		07/31/21 - 08/31/21	9/30/2021	TOTAL TO DATE	
GENERAL POOLED FUNDS / INVESTMENTS		258,885.44	153,993.10	412,878.54	
RSA BANK SWEEP ACCOUNT INTEREST		217.37	57.90	275.27	
RUA PRE-EXISTING / INVESTMENTS		35,649.55	5,250.90	40,900.45	
OTHER BANK ACCOUNTS		1.36	0.85	2.21	
ESCROW ACCOUNTS		4,020.14	1,705.14	5,725.28	
CEMETERY ENDOWMENT (RESTRICTED ACCOUNTS)		3.40	1.78	5.18	
PEPPER AVENUE EXTENSION (RESTRICTED ACCOUNTS)		2.78	1.41	4.19	
GENERAL FUND ECONOMIC RESERVE (RESTRICTED FUNDS)		9,763.07	6.88	9,769.95	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		242,858.68	32,470.05	275,328.73	
CASH W/FISCAL AGENTS - (RESTRICTED BOND ISSUES)		46.08	39.21	85.29	
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)		(29.22)	143.78	114.56	
TOTAL		551,418.65	193,671.00	745,089.65	
PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS) MONTHLY DETAIL		UNREALIZED GAIN(LOSS)	INTEREST	EXPENSES	TOTAL TO DATE
07/31/21		72,159.51	16,209.55	7,798.09	80,570.97
08/31/21		78,376.25	226,649.13	7,803.57	297,221.81
09/30/21		(701,832.17)	32,470.05	7,828.42	(677,190.54)
10/31/21					0.00
11/30/21					0.00
12/31/21					0.00
01/31/22					0.00
02/28/22					0.00
03/31/22					0.00
04/30/22					0.00
05/31/22					0.00
06/30/22					0.00
TOTAL- PUBLIC AGENCY RETIREMENT OPEB (RESTRICTED FUNDS)		(551,296.41)	275,328.73	23,430.08	(299,397.76)

CITY OF RIALTO					
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)					
REFERENCE: O					
TRUSTEE BALANCES AS OF 09/30/2021					
ISSUE	Account	Account	Fund	Security	Bank Balance Ref (cost)
<b><u>C.O.P.S. 2007 - UNION BANK</u></b>					
Fees Account	6711833500	*****3500	520	Blackrock Prov T-Fund	0.00
Revenue Fund	6711833501	*****3501	520	Blackrock Prov T-Fund	0.00
Lease Payment Fund	6711833502	*****3502	520	Blackrock Prov T-Fund	0.00
Prepayment Fund	6711833503	*****3503	520	Blackrock Prov T-Fund	0.00
<b>Total</b>					<b>0.00</b>
<b><u>RSA 2008 SERIES B - UNION BANK</u></b>					
Fees Account	6711841500	*****1500	343	Blackrock Prov T-Fund	0.00
Special Fund	6711841501	*****1501	343	Blackrock Prov T-Fund	5.72
Interest Account	6711841502	*****1502	343	Blackrock Prov T-Fund	9.66
Principal Account	6711841503	*****1503	343	Blackrock Prov T-Fund	0.00
Reserve Account	6711841504	*****1504	343	Blackrock Prov T-Fund	2,960,012.83
<b>Total</b>					<b>2,960,028.21</b>
<b><u>RSA 2008 SERIES C - UNION BANK</u></b>					
Fees Account	6711841600	*****1600	343	Blackrock Prov T-Fund	0.00
Special Fund	6711841601	*****1601	343	Blackrock Prov T-Fund	4.43
Interest Account	6711841602	*****1602	343	Blackrock Prov T-Fund	5.81
Principal Account	6711841603	*****1603	343	Blackrock Prov T-Fund	0.00
Reserve Account	6711841604	*****1604	343	Blackrock Prov T-Fund	1,858,383.06
<b>Total</b>					<b>1,858,393.30</b>
<b><u>RSA 2014 SERIES A - UNION BANK</u></b>					
Fees Account	6712100600	*****0600	343	Blackrock Prov T-Fund	0.00
Special Fund	6712100601	*****0601	343	Blackrock Prov T-Fund	3.90
Interest Account	6712100602	*****0602	343	Blackrock Prov T-Fund	0.00
Principal Account	6712100603	*****0603	343	Blackrock Prov T-Fund	0.00
Reserve Account	6712100604	*****0604	343	Blackrock Prov T-Fund	1.00
Redemption Fund	6712100605	*****0605	343	Blackrock Prov T-Fund	0.00
<b>Total</b>					<b>4.90</b>

CITY OF RIALTO					
CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)					
REFERENCE: O					
TRUSTEE BALANCES AS OF 09/30/2021					
ISSUE	Account	Account	Fund	Security	Bank Balance Ref (cost)
<b><u>RSA 2015 SERIES A &amp; B - UNION BANK</u></b>					
Fees Account	6712139300	*****9300	343	Blackrock Prov T-Fund	0.00
Special Fund	6712139301	*****9301	343	Blackrock Prov T-Fund	5.44
Interest Account	6712139302	*****9302	343	Blackrock Prov T-Fund	0.00
Principal Account	6712139303	*****9303	343	Blackrock Prov T-Fund	0.00
Reserve Account	6712139304	*****9304	343	Blackrock Prov T-Fund	2.00
Redemption Fund	6712139305	*****9305	343	Blackrock Prov T-Fund	0.00
Total					7.44
<b><u>RSA 2015 SERIES C - UNION BANK</u></b>					
Fees Account	6712139400	*****9400	343	Blackrock Prov T-Fund	0.00
Special Fund	6712139401	*****9401	343	Blackrock Prov T-Fund	2.10
Interest Account	6712139402	*****9402	343	Blackrock Prov T-Fund	0.00
Principal Account	6712139403	*****9403	343	Blackrock Prov T-Fund	0.00
Reserve Account	6712139404	*****9404	343	Blackrock Prov T-Fund	1.00
Redemption Fund	6712139405	*****9405	343	Blackrock Prov T-Fund	0.00
Total					3.10
<b><u>RSA 2018 REFUNDING 2008 SERIES A - UNION BANK</u></b>					
Fees Account	6712214700	*****4700	343	Blackrock Prov T-Fund	0.00
Special Fund	6712214701	*****4701	343	Blackrock Prov T-Fund	2.48
Interest Account	6712214702	*****4702	343	Blackrock Prov T-Fund	0.00
Principal Account	6712214703	*****4703	343	Blackrock Prov T-Fund	0.00
Reserve Account	6712214704	*****4704	343	Blackrock Prov T-Fund	1.00
Total					3.48
<b><u>ELM PARK SERIES 2016 - US BANK</u></b>					
Special Tax Fund	215615000	*****5000	871	T-Fund	0.00
Interest Account	215615001	*****5001	871	T-Fund	13.49
Principal Account	215615002	*****5002	871	T-Fund	0.00
Reserve Account	215615003	*****5003	871	T-Fund	453,489.82
Admin Expense Account	215615004	*****5004	871	T-Fund	0.00
Surplus Account	215615005	*****5005	871	T-Fund	1.90
Redemption Fund	215615007	*****5007	871	T-Fund	0.00
Total					453,505.21
<b>TOTAL - CASH W/FISCAL AGENTS (RESTRICTED BOND ISSUES)</b>					<b>5,271,945.64 O</b>

<b>CITY OF RIALTO</b> <b>C.O.P.S. SERIES 2007</b>					
<b>Account No.</b>	*****3500	*****3501	*****3502	*****3503	
<b>Account Description</b>	Fee Acct.	Revenue Fund	Lease Payment Fund	Prepayment Fund	<b>Total</b>
<b>Balance 07/01/2021</b>	-	5,373.00	-	-	5,373.00
<b><u>Interest</u></b>					
July	-	-	-	-	-
August	-	-	-	-	-
September	-	-	-	-	-
October	-	-	-	-	-
November	-	-	-	-	-
December	-	-	-	-	-
January	-	-	-	-	-
February	-	-	-	-	-
March	-	-	-	-	-
April	-	-	-	-	-
May	-	-	-	-	-
June	-	-	-	-	-
<b><u>Total Interest</u></b>	-	-	-	-	-
<b><u>Debt Service</u></b>					
Wire In					-
Debt Service - Principal					-
Debt Service - Interest			(5,373.00)		(5,373.00)
Wire In					-
Debt Service - Principal					-
Debt Service - Interest					-
<b><u>Transfers</u></b>					
Paid to City of Rialto					-
Wire Transfer					-
<b><u>Other Transactions</u></b>					
To/From *****3502		(5,373.00)	5,373.00		-
To/From *****3503					-
To/From *****3504					-
To/From *****3501					-
Payment from City of Rialto					-
Invoices Paid					-
<b>Balance 09/30/2021</b>	-	-	-	-	-

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

<b>CITY OF RIALTO RSA 2008 SERIES B</b>						
<b>Account No. Account Description</b>	<b>*****1500 Fee Acct.</b>	<b>*****1501 Special Fund</b>	<b>*****1502 Interest Account</b>	<b>*****1503 Principal Account</b>	<b>*****1504 Reserve Account</b>	<b>Total</b>
<b>Balance 07/01/2021</b>	-	3.05	0.00	0.00	2,960,255.50	2,960,258.55
<b><u>Interest</u></b>						
July	-	-	-	-	12.30	12.30
August	-	-	-	-	12.71	12.71
September	-	1.44	0.74	0.49	12.83	15.50
October	-	-	-	-	-	-
November	-	-	-	-	-	-
December	-	-	-	-	-	-
January	-	-	-	-	-	-
February	-	-	-	-	-	-
March	-	-	-	-	-	-
April	-	-	-	-	-	-
May	-	-	-	-	-	-
June	-	-	-	-	-	-
<b><u>Total Interest</u></b>	-	1.44	0.74	0.49	37.84	40.51
<b><u>Debt Service</u></b>						
Wire In		1,473,041.65				1,473,041.65
Debt Service - Principal				(590,000.00)		(590,000.00)
Debt Service - Interest			(883,312.50)			(883,312.50)
Wire In						-
Debt Service - Principal						-
Debt Service - Interest						-
Wire In						-
Debt Service - Principal						-
Debt Service - Interest						-
<b><u>Other Transactions</u></b>						
To/From *****1501		272.08	(271.59)	(0.49)		0.00
To/From *****1502		(883,312.50)	883,312.50			-
To/From *****1503		(590,000.00)		590,000.00		-
To/From *****1504			280.51		(280.51)	-
To/From *****1505						-
To/From *****1605						-
To/From 7-00003-00-5						-
Payment from RSA						-
<b>Balance 09/30/2021</b>	-	5.72	9.66	0.00	2,960,012.83	2,960,028.21

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

<b>CITY OF RIALTO</b>						
<b>RSA 2008 SERIES C</b>						
<b>Account No.</b>	*****1600	*****1601	*****1602	*****1603	*****1604	
<b>Account Description</b>	Fee Acct.	Special Fund	Interest Account	Principal Account	Reserve Account	Total
<b>Balance 07/01/2021</b>	-	2.25	(0.00)	0.00	1,858,535.28	1,858,537.53
<b><u>Interest</u></b>						
July	-	-	-	-	7.80	7.80
August	-	-	-	-	8.06	8.06
September	-	1.17	0.55	0.46	8.06	10.24
October	-	-	-	-	-	-
November	-	-	-	-	-	-
December	-	-	-	-	-	-
January	-	-	-	-	-	-
February	-	-	-	-	-	-
March	-	-	-	-	-	-
April	-	-	-	-	-	-
May	-	-	-	-	-	-
June	-	-	-	-	-	-
<b><u>Total Interest</u></b>	-	1.17	0.55	0.46	23.92	26.10
<b><u>Debt Service</u></b>						
Wire In		1,199,954.67				1,199,954.67
Debt Service - Principal				(545,000.00)		(545,000.00)
Debt Service - Interest			(655,125.00)			(655,125.00)
Wire In						-
Debt Service - Principal						-
Debt Service - Interest						-
Wire In						-
Debt Service - Principal						-
Debt Service - Interest						-
<b><u>Other Transactions</u></b>						
To/From *****1601		171.34	(170.88)	(0.46)		0.00
To/From *****1602		(655,125.00)	655,125.00			-
To/From *****1603		(545,000.00)		545,000.00		-
To/From *****1604			176.14		(176.14)	-
To/From *****1605						-
To/From *****6801						-
To/From *****1505						-
To/From 7-00003-00-5						-
Invoices Paid						-
<b>Balance 09/30/2021</b>	-	4.43	5.81	0.00	1,858,383.06	1,858,393.30

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

<b>CITY OF RIALTO</b> <b>RSA 2014 SERIES A BOND</b>								
<b>Account No.</b>	*****0600	*****0601	*****0602	*****0603	*****0604	*****0605		
<b>Account Description</b>	Fee Acct.	Special Fund	Interest Acct.	Principal Acct.	Reserve Acct.	Redemption Fund		<b>Total</b>
<b>Balance 07/01/2021</b>	-	1.85	-	-	1.00	-		2.85
<b><u>Interest</u></b>								
July	-	-	-	-	-	-		-
August	-	-	-	-	-	-		-
September	-	1.45	0.24	1.21	-	-		2.90
October	-	-	-	-	-	-		-
November	-	-	-	-	-	-		-
December	-	-	-	-	-	-		-
January	-	-	-	-	-	-		-
February	-	-	-	-	-	-		-
March	-	-	-	-	-	-		-
April	-	-	-	-	-	-		-
May	-	-	-	-	-	-		-
June	-	-	-	-	-	-		-
<b><u>Total Interest</u></b>	-	1.45	0.24	1.21	-	-		2.90
<b><u>Debt Service</u></b>								
Wire In		1,486,349.15						1,486,349.15
Debt Service - Principal				(1,240,000.00)				(1,240,000.00)
Debt Service - Interest			(246,350.00)					(246,350.00)
Wire In								-
Debt Service - Principal								-
Debt Service - Interest								-
Wire In								-
Debt Service - Principal								-
Debt Service - Interest								-
<b><u>Other Transactions</u></b>								
To/From *****0601		1.45	(0.24)	(1.21)				-
To/From *****0602		(246,350.00)	246,350.00					-
To/From *****0603		(1,240,000.00)		1,240,000.00				-
To/From *****0606								-
To/From *****0607								-
To/From *****0608								-
Payment from RSA								-
Invoices Paid								-
<b>Balance 09/30/2021</b>	-	3.90	0.00	0.00	1.00	-		4.90

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

<b>CITY OF RIALTO</b> <b>RSA 2015 SERIES A &amp; B BOND</b>								
<b>Account No. New</b> <b>Account Description</b>	*****9300 Fee Acct.	*****9301 Special Fund	*****9302 Interest Acct.	*****9303 Principal Acct.	*****9304 Reserve Acct.	*****9305 Redemption Fund		<b>Total</b>
<b>Balance 07/01/2021</b>	0.00	4.40	0.00	0.00	2.00	0.00		6.40
<b><u>Interest</u></b>								
July	-	-	-	-	-	-		-
August	-	-	-	-	-	-		-
September	-	1.72	0.68	1.04	-	-		3.44
October	-	-	-	-	-	-		-
November	-	-	-	-	-	-		-
December	-	-	-	-	-	-		-
January	-	-	-	-	-	-		-
February	-	-	-	-	-	-		-
March	-	-	-	-	-	-		-
April	-	-	-	-	-	-		-
May	-	-	-	-	-	-		-
June	-	-	-	-	-	-		-
<b><u>Total Interest</u></b>	-	1.72	0.68	1.04	-	-		3.44
<b><u>Debt Service</u></b>								
Wire In		1,289,368.75						1,289,368.75
Wire In		468,922.60						468,922.60
Debt Service - Series A Principal				(1,060,000.00)				(1,060,000.00)
Debt Service - Series A Interest			(468,925.00)					(468,925.00)
Debt Service - Series B Principal								-
Debt Service - Series B Interest			(229,368.75)					(229,368.75)
Wire In								-
Debt Service - Series A Principal								-
Debt Service - Series A Interest								-
Debt Service - Series B Principal								-
Debt Service - Series B Interest								-
<b><u>Other Transactions</u></b>								
To/From *****9301		1.72	(0.68)	(1.04)				-
To/From *****9302		(698,293.75)	698,293.75					-
To/From *****9303		(1,060,000.00)		1,060,000.00				-
To/From *****9306								-
To/From *****9307								-
To/From *****9308								-
Payment from RSA								-
Muni Bond Ins. Policy								-
<b>Balance 09/30/2021</b>	-	5.44	0.00	0.00	2.00	-		7.44

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.



CITY OF RIALTO RSA 2015 SERIES C BOND							
Account No. Account Description	*****9400 Fee Acct.	*****9401 Special Fund	*****9402 Interest Acct.	*****9403 Principal Acct.	*****9404 Reserve Acct.	*****9405 Redemption Fund	Total
Balance 07/01/2021	-	1.50	0.00	(0.00)	1.00	-	2.50
<b>Interest</b>							
July	-	-	-	-	-	-	-
August	-	-	-	-	-	-	-
September	-	0.55	0.14	0.41	-	-	1.10
October	-	-	-	-	-	-	-
November	-	-	-	-	-	-	-
December	-	-	-	-	-	-	-
January	-	-	-	-	-	-	-
February	-	-	-	-	-	-	-
March	-	-	-	-	-	-	-
April	-	-	-	-	-	-	-
May	-	-	-	-	-	-	-
June	-	-	-	-	-	-	-
<b>Total Interest</b>	0.00	0.55	0.14	0.41	0.00	0.00	1.10
<b>Debt Service</b>							
Wire In		561,370.90					561,370.90
Debt Service - Principal				(415,000.00)			(415,000.00)
Debt Service - Interest			(146,371.40)				(146,371.40)
Wire In							-
Debt Service - Principal							-
Debt Service - Interest							-
Wire In							-
Debt Service - Principal							-
Debt Service - Interest							-
<b>Other Transactions</b>							
To/From *****9401		0.55	(0.14)	(0.41)			-
To/From *****9402		(146,371.40)	146,371.40				-
To/From *****9403		(415,000.00)		415,000.00			-
To/From *****9404							-
To/From *****9406							-
To/From *****9407							-
Payment from RSA							-
Invoices Paid							-
Balance 09/30/2021	-	2.10	0.00	(0.00)	1.00	-	3.10

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

<b>CITY OF RIALTO</b>						
<b>RSA 2018 RFUNDING 2008 SERIES A</b>						
<b>Account No.</b>	*****4700	*****4701	*****4702	*****4703	*****4704	
<b>Account Description</b>	Fee Acct.	Special Fund	Interest Account	Principal Account	Reserve Account	Total
<b>Balance 07/01/2021</b>	-	2.30	-	(0.00)	1.00	3.30
<b><u>Interest/(Accrued Interest)</u></b>						
July	-	-	-	-	-	-
August	-	-	-	-	-	-
September	-	1.39	0.65	0.44	-	2.48
October	-	-	-	-	-	-
November	-	-	-	-	-	-
December	-	-	-	-	-	-
January	-	-	-	-	-	-
February	-	-	-	-	-	-
March	-	-	-	-	-	-
April	-	-	-	-	-	-
May	-	-	-	-	-	-
June	-	-	-	-	-	-
<b><u>Total Interest</u></b>	-	1.39	0.65	0.44	-	2.48
<b><u>Debt Service</u></b>						
Wire In		1,107,747.70				1,107,747.70
Debt Service - Principal				(445,000.00)		(445,000.00)
Debt Service - Interest			(662,750.00)			(662,750.00)
Wire In						-
Debt Service - Principal						-
Debt Service - Interest						-
Wire						-
Debt Service - Principal						-
Debt Service - Interest						-
<b><u>Other Transactions</u></b>						
To/From *****1401						-
To/From *****1404						-
To/From *****4701		1.09	(0.65)	(0.44)		-
To/From *****4702		(662,750.00)	662,750.00			-
To/From *****4703		(445,000.00)		445,000.00		-
To/From *****4705						-
To/From *****4706						-
Payment from RSA	2,500.00					2,500.00
Invoices Paid	(2,500.00)					(2,500.00)
<b>Balance 09/30/2021</b>	-	2.48	(0.00)	(0.00)	1.00	3.48

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

NOTE: RSA 2018 Refunded RSA 2008 Series A, 09/2018.

<b>CITY OF RIALTO</b>								
<b>ELM PARK BONDS SERIES 2016</b>								
<b>Account No.</b>	*****5000	*****5001	*****5002	*****5003	*****5004	*****5005	*****5007	
<b>Account Description</b>	Special Tax	Interest Acct.	Principal	Reserve	Admin Exp.	Surplus	Redemption	Total
<b>Balance 07/01/2021</b>	0.07	13.72	0.00	453,496.45	0.00	0.00	0.00	453,510.24
<b><u>Interest</u></b>								
July	-	-	-	2.23	-	-	-	2.23
August	0.67	-	-	2.31	-	-	-	2.98
September	1.23	-	-	2.32	-	-	-	3.55
October	-	-	-	-	-	-	-	-
November	-	-	-	-	-	-	-	-
December	-	-	-	-	-	-	-	-
January	-	-	-	-	-	-	-	-
February	-	-	-	-	-	-	-	-
March	-	-	-	-	-	-	-	-
April	-	-	-	-	-	-	-	-
May	-	-	-	-	-	-	-	-
June	-	-	-	-	-	-	-	-
<b>Total Interest</b>	1.90	0.00	0.00	6.86	0.00	0.00	0.00	8.76
<b><u>Debt Service</u></b>								
Wire In	240,992.48							240,992.48
Debt Service - Principal			(150,000.00)					(150,000.00)
Debt Service - Interest		(91,006.27)						(91,006.27)
Wire In								-
Debt Service - Principal								-
Debt Service - Interest								-
<b><u>Transfers</u></b>								
Transfer In Wire ACH								-
To/From 104310000								-
To/From 104310004								-
To/From 104310005								-
To/From 104310006								-
To/From *****5000	13.72	(13.72)						-
To/From *****5001	(91,006.27)	91,006.27						-
To/From *****5002	(150,000.00)		150,000.00					-
To/From *****5003		13.49		(13.49)				-
To/From *****5005	(1.90)					1.90		-
To/From 218483000								-
<b>Balance 07/31/2021</b>								-
Invoices Paid								-
Balance 09/30/2021								-
<b>Balance 09/30/2021</b>	0.00	13.49	-	453,489.82	-	1.90	-	453,505.21

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

CITY OF RIALTO						
CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)						
REFERENCE: P						
Issue	Account	Security	Bank Balance (Cost)	Bank Balance (Par Value)	Bank Balance (Market Value)	Ref
<b><u>RIALTO TRUST AND RUA LOCKBOX ACCOUNTS</u></b>						
RUA LOCKBOX	*****4459	N/A	98,294.87	98,294.87	98,294.87	
MASTER REVENUE	*****5001	N/A	4,535,965.49	4,535,965.49	4,535,965.49	
ENVIRONMENTAL COMPLIANCE	*****5002	N/A	3,068.65	3,068.65	3,068.65	
REGULATORY COMPLIANCE	*****5003	N/A	-	-	-	
POST TERMINATION REVENUE	*****5030	N/A	-	-	-	
EXCLUDED	*****5031	N/A	3,459,469.33	3,459,469.33	3,459,469.33	
PRE-EXISTING	*****5032	N/A	-	-	-	
<b>Total</b>			<b>8,096,798.34</b>	<b>8,096,798.34</b>	<b>8,096,798.34</b>	
<b><u>WASTEWATER ACCOUNTS</u></b>						
WASTEWATER RATE STABILIZATION	*****5004	N/A	-	-	-	
WASTEWATER MASTER	*****5006	N/A	-	-	-	
WASTEWATER OPERATING	*****5008	N/A	-	-	-	
WASTEWATER FACILITY AUTHORITY EXPENSE	*****5010	N/A	777,977.94	777,977.94	777,977.94	
WASTEWATER OPERATING R & R	*****5012	N/A	1,459,008.10	1,459,008.10	1,459,008.10	
WASTEWATER CAPITAL CHARGES	*****5014	N/A	-	-	-	
WASTEWATER RESERVE	*****5016	N/A	254,635.17	254,635.17	254,635.17	P2
WASTEWATER RESERVE	*****5016	CD'S	6,144,000.00	6,144,000.00	6,140,918.84	P2
WASTEWATER INTERIM RETENTION	*****5018	N/A	2,759.08	2,759.08	2,759.08	
WASTEWATER SURPLUS	*****5020	N/A	-	-	-	
WASTEWATER CONST. RESTRICTED	*****5022	N/A	1,156,086.23	1,156,086.23	1,156,086.23	
WASTEWATER CONST. UNRESTRICTED	*****5023	N/A	43,592.25	43,592.25	43,592.25	
WASTEWATER TAKINGS	*****5026	N/A	-	-	-	
WASTEWATER LOSS PROCEEDS	*****5028	N/A	-	-	-	
<b>Total</b>			<b>9,838,058.77</b>	<b>9,838,058.77</b>	<b>9,834,977.61</b>	
<b><u>WATER ACCOUNTS</u></b>						
WATER RATE STABILIZATION	*****5005	N/A	-	-	-	
WATER MASTER	*****5007	N/A	-	-	-	
WATER OPERATING	*****5009	N/A	-	-	-	
WATER FACILITY AUTHORITY EXPENSE	*****5011	N/A	1,102,795.03	1,102,795.03	1,102,795.03	
WATER OPERATING R & R	*****5013	N/A	1,413,451.65	1,413,451.65	1,413,451.65	
WATER CAPITAL CHARGES	*****5015	N/A	-	-	-	
WATER RESERVE	*****5017	N/A	302,104.59	302,104.59	302,104.59	P3
WATER RESERVE	*****5017	CD'S	3,348,000.00	3,348,000.00	3,347,304.98	P3
WATER INTERIM RETENTION	*****5019	N/A	3,006.22	3,006.22	3,006.22	
WATER SURPLUS	*****5021	N/A	-	-	-	
WATER CONST. RESTRICTED	*****5024	N/A	4,355.01	4,355.01	4,355.01	
WATER CONST. UNRESTRICTED	*****5025	N/A	-	-	-	
WATER TAKINGS	*****5027	N/A	-	-	-	
WATER LOSS PROCEEDS	*****5029	N/A	25,000.06	25,000.06	25,000.06	
<b>Total</b>			<b>6,198,712.56</b>	<b>6,198,712.56</b>	<b>6,198,017.54</b>	
<b>TOTAL CASH W/FISCAL AGENTS - RUA (CONCESSION AGREEMENT)</b>			<b>24,133,569.67</b>	<b>24,133,569.67</b>	<b>24,129,793.49</b>	<b>P</b>

NOTE. P2. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 09/30/2021, INCLUDES CASH AND INVESTMENTS OF \$254,635.17 AND \$6,144,000.00 RESPECTIVELY  
NOTE. P3. CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 09/30/2021, INCLUDES CASH AND INVESTMENTS OF \$302,104.59 AND \$3,348,000.00 RESPECTIVELY

<b>CITY OF RIALTO</b>								
<b>RIALTO TRUST AND RUA LOCKBOX ACCOUNTS</b>								
<b>Account No.</b>	*****4459	*****5001	*****5002	*****5003	*****5030	*****5031	*****5032	
<b>Account Description</b>	LOCKBOX	MASTER REVENUE	ENVIRONMENTAL COMP	REGULATORY COMP	POST TERM REV	EXCLUDED ACCT	PRE-EXISTING	Total
<b>Bal 07/01/2021</b>	155,037.40	5,048,619.92	3,068.64	-	-	-	-	5,206,725.96
<b><u>Interest</u></b>								
July	-	-	-	-	-	-	-	-
August	-	-	-	-	-	-	-	-
September	-	1.64	0.01	-	-	8.52	-	10.17
October	-	-	-	-	-	-	-	-
November	-	-	-	-	-	-	-	-
December	-	-	-	-	-	-	-	-
January	-	-	-	-	-	-	-	-
February	-	-	-	-	-	-	-	-
March	-	-	-	-	-	-	-	-
April	-	-	-	-	-	-	-	-
May	-	-	-	-	-	-	-	-
June	-	-	-	-	-	-	-	-
<b>Total Interest</b>	-	1.64	0.01	-	-	8.52	-	10.17
<b><u>Debt Service</u></b>								
Wire In								-
Debt Service - Principal								-
Debt Service - Interest								-
<b><u>Other Transactions</u></b>								
PAID TO/FROM *****5001								-
PAID TO/FROM *****5002								-
PAID TO/FROM *****5006		(6,979,269.68)						(6,979,269.68)
PAID TO/FROM *****5007		(4,156,870.72)						(4,156,870.72)
PAID TO/FROM *****5010								-
PAID TO/FROM *****5011								-
PAID TO/FROM *****5020						897,762.06		897,762.06
PAID TO/FROM *****5021						102,237.94		102,237.94
PAID TO/FROM *****5022								-
PAID TO/FROM *****5024								-
PAID TO/FROM *****5101								-
PAID TO/FROM *****5600								-
PAID TO CITY OF RIALTO		(729,387.45)				(1,000,000.00)		(1,729,387.45)
RECEIVED FROM RUA *****4459	(11,372,352.54)	11,372,352.54						-
RECEIVED FROM VEOLIA CHEMICAL REIMB.								-
RECEIVED FROM CITY OF RIALTO						3,459,460.81		3,459,460.81
INVOICES PAID & VOIDED CHECKS		(19,480.76)						(19,480.76)
DEPOSITS	11,347,279.42							11,347,279.42
DEPOSITS - WIRE								-
NSF & CHARGE BACK	(31,669.41)							(31,669.41)
<b>Balance 09/30/2021</b>	98,294.87	4,535,965.49	3,068.65	-	-	3,459,469.33	-	8,096,798.34

NOTE: The activity shown above is cumulative throughout the months of 07/2021 - 06/2022.

CITY OF RIALTO WASTEWATER ACCOUNTS															
Account No. Account Description	*****5004 RATE STAB	*****5006 WASTE MASTER	*****5008 WASTE OPER	*****5010 WASTE FACILITY EXP	*****5012 WASTE OP R&R	*****5014 WASTE CAP CHARGES	*****5016 WASTE RESERVE	*****5018 WASTE INTERIM RET	*****5020 WASTE SURPLUS	*****5022 WASTE CONST RESTRIC	*****5023 WASTE CONST UNRESTRIC	*****5026 WASTE TAKINGS	*****5028 WASTE LOSS PROCEEDS	TOTAL	
Bal 07/01/2021	-	0.00	-	600,626.77	1,410,390.77	-	6,398,542.47	1,072,320.03	897,762.06	161,186.38	43,592.14	-	-	10,584,420.62	
Interest/ (Accrued Interest)/ Adjustment on Current Year Market Discount															
July							-							-	
August							(24.46)							(24.46)	
September				2.01	3.49		117.16	0.07		2.85	0.11			125.69	
October							-							-	
November							-							-	
December							-							-	
January							-							-	
February							-							-	
March							-							-	
April							-							-	
May							-							-	
June							-							-	
Total Interest	-	-	-	2.01	3.49	-	92.70	0.07	-	2.85	0.11	-	-	101.23	
Debt Service															
Wire In														-	
Debt Service - Principal														-	
Debt Service - Interest														-	
Other Transactions															
PAID TO/FROM *****5001		6,979,269.68												6,979,269.68	
PAID TO/FROM *****5004														-	
PAID TO/FROM *****5006														-	
PAID TO/FROM *****5007														-	
PAID TO/FROM *****5008		(2,169,577.70)	2,169,577.70											-	
PAID TO/FROM *****5010		(958,551.24)		958,551.24										-	
PAID TO/FROM *****5012		(131,250.00)			131,250.00									-	
PAID TO/FROM *****5014		(3,170,499.99)				3,170,499.99								-	
PAID TO/FROM *****5016														-	
PAID TO/FROM *****5018		(549,390.75)						549,390.75						-	
PAID TO/FROM *****5020								(1,618,951.77)	1,618,951.77					-	
PAID TO/FROM *****5023														-	
PAID TO/FROM *****5031									(2,516,713.83)					(2,516,713.83)	
PAID TO/FROM *****5032														-	
PAID TO/FROM *****5101			(2,169,577.70)			(3,170,499.99)								(5,340,077.69)	
PAID TO/FROM *****5106										(185,751.86)				(185,751.86)	
PAID TO/FROM *****5108					(82,636.16)									(82,636.16)	
PAID TO CITY OF RIALTO INVOICES PAID				(781,202.08)						1,180,648.86				1,180,648.86	
														(781,202.08)	
Balance 09/30/2021	-	0.00	-	777,977.94	1,459,008.10	-	6,398,635.17	2,759.08	(0.00)	1,156,086.23	43,592.25	-	-	9,838,058.77	
							M2								

CITY OF RIALTO														
WATER ACCOUNTS														
Account No.	*****5005	*****5007	*****5009	*****5011	*****5013	*****5015	*****5017	*****5019	*****5021	*****5024	*****5025	*****5027	*****5029	
Account Description	RATE STAB	WATER MASTER	WATER OPERATING	WATER FACILITY EXP	WATER OPER R&R	WATER CAP CHARGES	WATER RESERVE	WATER INTERIM RET	WATER SURPLUS	WATER CONST RESTRIC	WATER CONST UNRESTRIC	WATER TAKINGS	WATER LOSS PROCEEDS	Total
Bal 07/01/2021	1,500,000.00	0.00	0.00	784,335.49	1,462,526.67	0.00	3,614,370.41	122,116.75	102,237.94	4,355.00	0.00	0.00	25,000.00	7,614,942.26
<u>Interest/</u>														
<u>(Accrued Interest)/</u>														
<u>Adjustment on Current Year</u>														
<u>Market Discount</u>														
July														0.00
August							(4.76)							(4.76)
September				3.38	3.53		0.74	0.20		0.01			0.06	7.92
October														0.00
November														0.00
December														0.00
January														0.00
February														0.00
March														0.00
April														0.00
May														0.00
June														0.00
<b>Total Interest</b>	0.00	0.00	0.00	3.38	3.53	0.00	(4.02)	0.20	0.00	0.01	0.00	0.00	0.06	3.16
<u>Debt Service</u>														
Wire In														0.00
Debt Service - Principal														0.00
Debt Service - Interest														0.00
<u>Other Transactions</u>														
PAID TO/FROM *****5001		4,236,134.77												4,236,134.77
PAID TO/FROM *****5002														0.00
PAID TO/FROM *****5005														0.00
PAID TO/FROM *****5006														0.00
PAID TO/FROM *****5007	(1,500,000.00)	1,500,000.00												0.00
PAID TO/FROM *****5009		(1,671,762.22)	1,671,762.22											0.00
PAID TO/FROM *****5010		(1,427,971.98)		1,427,971.98										0.00
PAID TO/FROM *****5011		(75,000.00)			75,000.00									0.00
PAID TO/FROM *****5013		(725,000.01)				725,000.01								0.00
PAID TO/FROM *****5015		(35,738.20)					35,738.20							0.00
PAID TO/FROM *****5017		(1,800,662.36)						1,721,398.31						(79,264.05)
PAID TO/FROM *****5018														0.00
PAID TO/FROM *****5019														0.00
PAID TO/FROM *****5021								(1,840,509.04)	1,840,509.04					0.00
PAID TO/FROM *****5031									(1,942,746.98)					(1,942,746.98)
PAID TO/FROM *****5032														0.00
PAID TO/FROM *****5101			(1,671,762.22)			(725,000.01)								(2,396,762.23)
PAID TO/FROM *****5108														0.00
PAID TO/FROM *****5109					(124,078.55)									(124,078.55)
PAID TO/FROM *****5600														0.00
WIRE FROM CITY OF RIALTO														0.00
INVOICES PAID				(1,109,515.82)										(1,109,515.82)
							M3							
Balance 09/30/2021	0.00	0.00	0.00	1,102,795.03	1,413,451.65	0.00	3,650,104.59	3,006.22	0.00	4,355.01	0.00	0.00	25,000.06	6,198,712.56

NOTE: P3: CASH W/FISCAL AGENTS - RUA, WATER RESERVE ACCOUNT AS OF 09/30/2021, INCLUDES CASH AND INVESTMENTS OF \$302,104.59 AND \$3,348,000.00 RESPECTIVELY.

NOTE: THE ACTIVITY SHOWN ABOVE CUMULATIVE THROUGHT THE MONTHS OF 07/2021 - 06/2022.



# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Accrued Interest** - The interest that has accumulated on a bond since the last interest payment up to, but not including, the settlement date. Accrued interest occurs as a result of the difference in timing of cash flows and the measurement of these cash flows.
- ❖ **Call Date** - The call date is the date on which a bond can be redeemed before maturity. If the issuer feels there is a benefit to refinancing the issue, the bond may be redeemed on the call date at par or at a small premium to par.
- ❖ **Coupon Rate** - A coupon rate is the yield paid by a fixed-income security; a fixed-income security's coupon rate is simply just the annual coupon payments paid by the issuer relative to the bond's face or par value.
- ❖ **Credit Rating** - The credit rating is a financial indicator to potential investors of debt securities such as bonds. These are assigned by credit rating agencies such as Moody's, Standard & Poor's, and Fitch, which publish code designations (such as AAA, B, CC) to express their assessment of the risk quality of a bond.
- ❖ **Original Cost/Amount Invested** - The original cost of an asset takes into consideration all of the costs that can be attributed to its purchase and to putting the asset to use.
- ❖ **Market Value** - is the price an asset would fetch in the marketplace, or the value that the investment community gives to a particular equity or business.
- ❖ **Par Value** - The face value of a bond. Par value is important for a bond or fixed-income instrument because it determines its maturity value as well as the dollar value of coupon payments.
- ❖ **Realized Gain/(Loss)** - Realized gain/loss is the cumulative amount of realized gains and losses resulting from the sale of securities. A realized loss is the monetary value of a loss that results from a trade
- ❖ **StageCoach Sweep Account** – A sweep account automatically transfers cash funds into a safe but higher interest-earning investment option at the close of each business day, e.g. into a money market fund. Sweep accounts try to minimize idle cash drag by capitalizing on the immediate availability of higher-interest accounts.





# *City of Rialto*

## TREASURER'S REPORT - GLOSSARY OF TERMS

- ❖ **Unrealized Gains/(Loss)** - a profitable/(losing) position that has yet to be cashed in. The actual gain/(loss) is not realized until the position is closed. A position with an unrealized gain may eventually turn into a position with an unrealized loss, as the market fluctuates and vice versa.
- ❖ **Yield** - The income return on an investment. This refers to the interest or dividends received from a security and is expressed as a percentage based on the investment's cost and its current market value.